

The Healthcare Cost and Utilization Project (HCUP)

Overview of the HCUP Databases and Resources

Agency for Healthcare Research and Quality

Updated August 2018



Presentation Objectives

Part I



- **Project Overview**
- **AHRQ and HCUP Partners**
- **The Making of HCUP Data**
- **HCUP State Databases**
- **HCUP Nationwide Databases**
- **How to Obtain HCUP Databases & Access HCUP Resources**

Federal-State-Private Partnership

HCUP is a comprehensive set of **publicly available all-payer** health care data



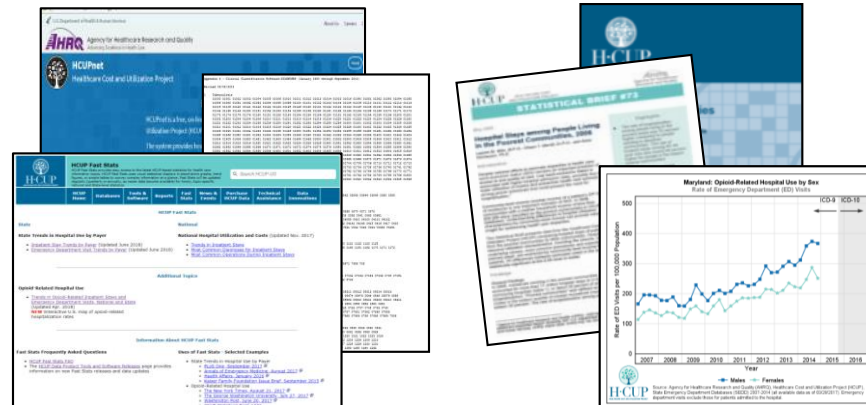
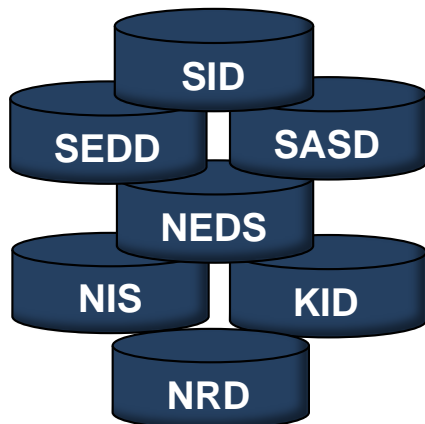
Includes **multi-year** inpatient and outpatient data based on **hospital billing** records

HCUP
Databases

Online Tools

Analytics

User Support



- Use of hospital, ED, and ambulatory surgery services
- Expected payer (all are included) of services
- Age, race and area of residence of patients
- Geography (county, State, national)
- Clinical detail
 - ▶ Conditions/comorbidities and procedures
 - ▶ Outcomes of care
- Cost of care
- Care for a patient across time** (revisits/readmissions)
- Access, quality, patient safety
- Trends over time in all of the above



Research Using HCUP Data



Costs of care

Between 2005 and 2014, the inflation-adjusted mean cost per inpatient stay increased by 12.7 percent, from \$9,500 to \$10,900 (2005 and 2014 NIS & HCUP Fast Stats, Stat Brief #225).

Patient safety

Half of patients with community-acquired Methicillin-resistant *Staphylococcus aureus* (MRSA) in California had a diagnosis of cellulitis or skin ulcers. Among patients with hospital-acquired MRSA, the largest proportion (38 percent) were diagnosed with pneumonia. (2013 SID, Stat Brief #212)

Access to care

From 2000 to 2015, the share of Medicaid among nonneonatal, nonmaternal inpatient stays for those aged 18–44 years and 45–64 years increased by 74 percent and 68 percent, respectively (2000-2015 NIS, Stat Brief #235).

Readmissions

In 2014, 14 percent of inpatient stays were readmitted within 30 days. More than one-third of these readmissions occurred within 7 days, reflecting a 7-day readmission rate of 5 percent (2014 NRD, Stat Brief #230).



Research Using HCUP Data



Quality of Care

From 2010 to 2014, the rate of stays involving an adverse drug event (ADE) increased the most for ADEs caused by smooth muscle and respiratory drugs (up 24 percent) and decreased the most for ADEs caused by cardiovascular drugs (down 18 percent). (2010 and 2014 SID, Stat Brief #234)

Geographic variation

The mean rate of Cesarean section (C-Section) among total and low-risk deliveries was higher for hospitals in the Northeast and South compared with those in the Midwest and West. (2013 SID, Stat Brief #211)

Trends in practice

C-section, knee arthroplasty, hip replacement, and percutaneous coronary angioplasty (PTCA) were among the five most common operating room (OR) procedures (along with circumcision) and the five OR procedures with the highest aggregate hospital costs (along with spinal fusion). (2014 NIS, Stat Brief #233)

Opioid-related stays

Nationally, from 2010 to 2015, the share of opioid-related inpatient stays and emergency department (ED) visits shifted away from private payers and no insurance and toward public payers (Medicare and Medicaid) (2010 and 2015 NIS & NEDS & HCUP Fast Stats, Stat Brief #239).



Presentation Objectives

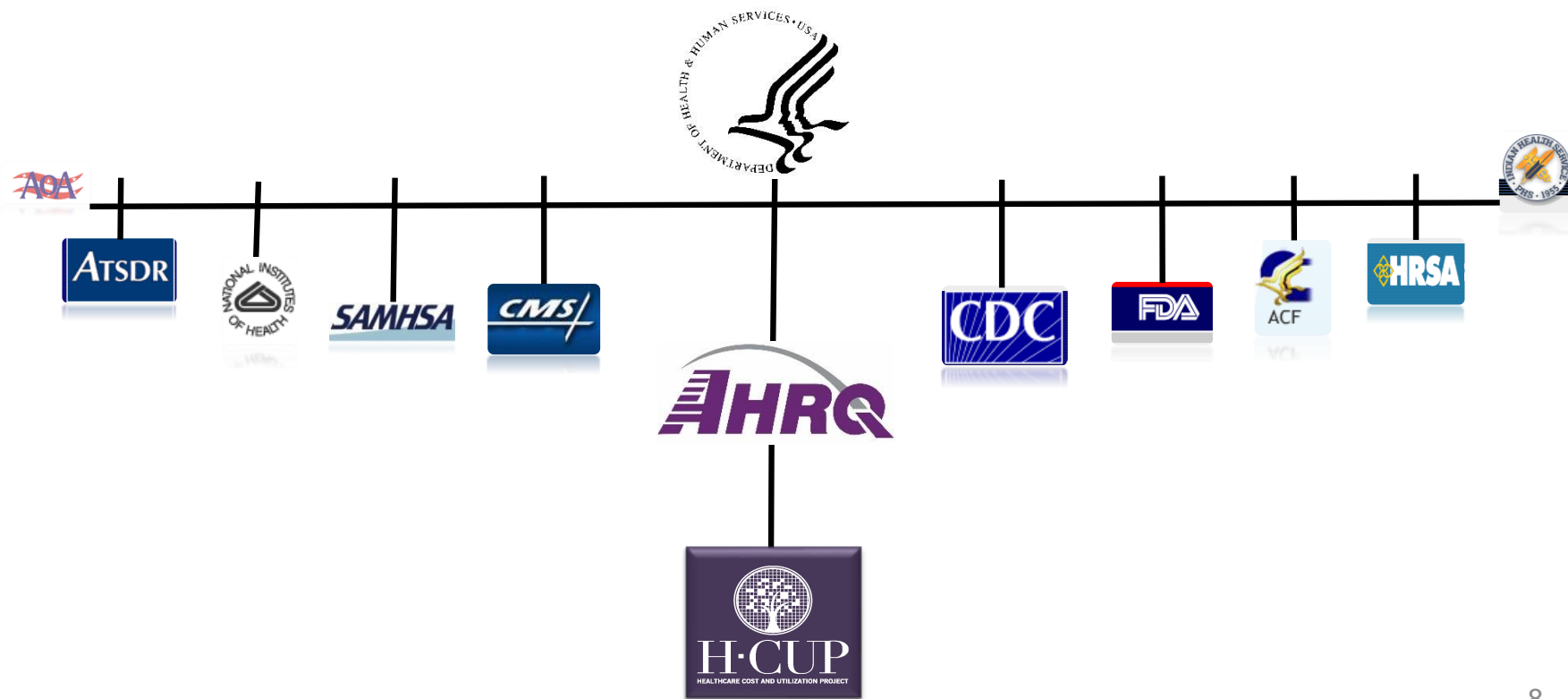
Part I



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What is the Agency for Healthcare Research and Quality (AHRQ)?

The Agency for Healthcare Research and Quality (AHRQ) is a federal agency under the Department of Health and Human Services.

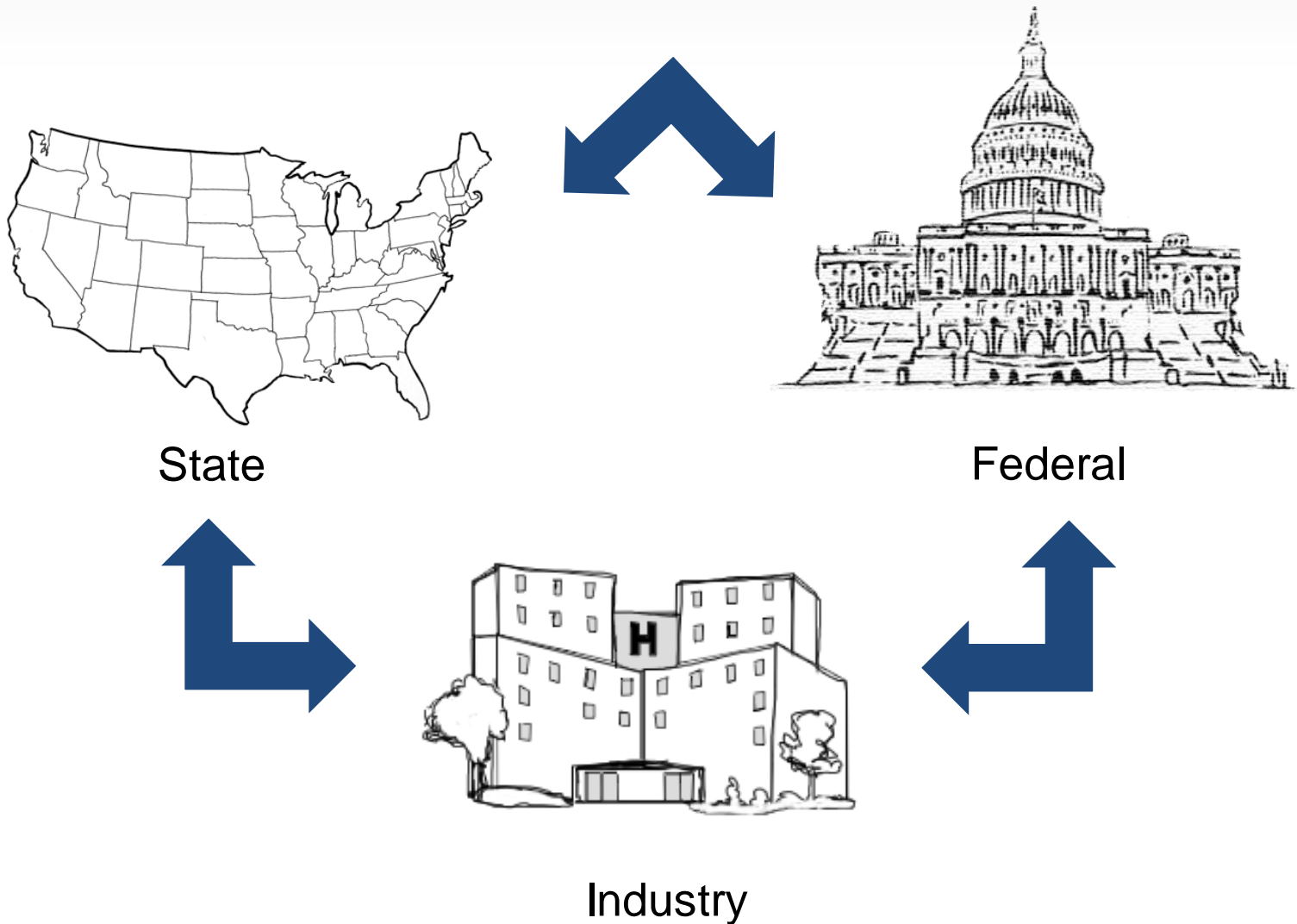




AHRQ's Mission



- To produce evidence to make health care
 - safer
 - higher quality
 - more accessible
 - equitable
 - affordable
- To work with HHS and other partners to make sure that the evidence is understood and used





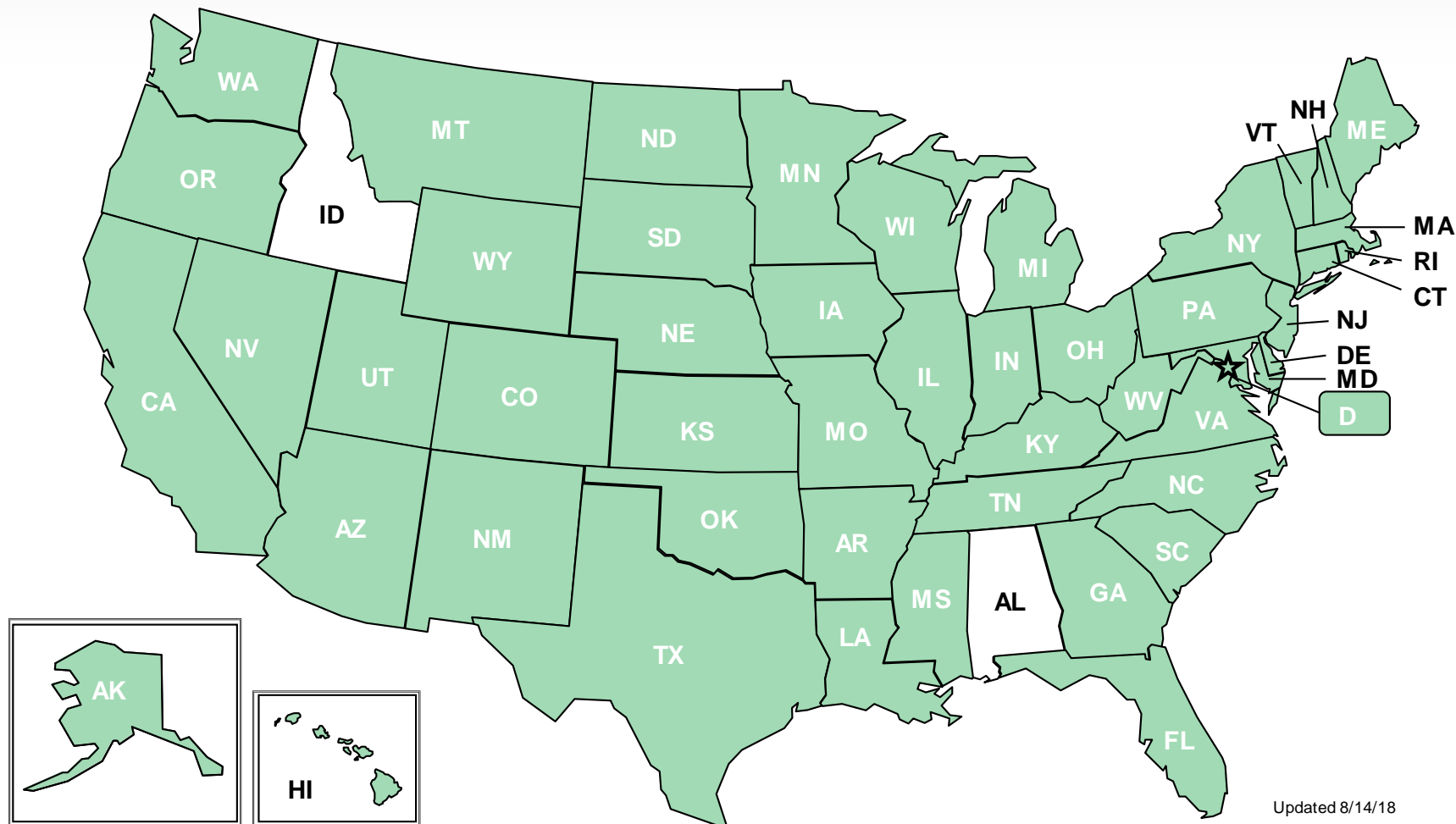
HCUP Data Partners



Alaska Department of Health and Social Services
Alaska State Hospital and Nursing Home Association
Arizona Department of Health Services
Arkansas Department of Health
California Office of Statewide Health Planning and Development
Colorado Hospital Association
Connecticut Hospital Association
Delaware Health Statistics Center & Office of Vital Statistics
District of Columbia Hospital Association
Florida Agency for Health Care Administration
Georgia Hospital Association
Hawaii Health Information Corporation
Illinois Department of Public Health
Indiana Hospital Association
Iowa Hospital Association
Kansas Hospital Association
Kentucky Cabinet for Health and Family Services
Louisiana Department of Health
Maine Health Data Organization
Maryland Health Services Cost Review Commission
Massachusetts Center for Health Information and Analysis
Michigan Health & Hospital Association
Minnesota Hospital Association (provides data for Minnesota and North Dakota)
Mississippi Department of Health
Missouri Hospital Industry Data Institute
Montana Hospital Association

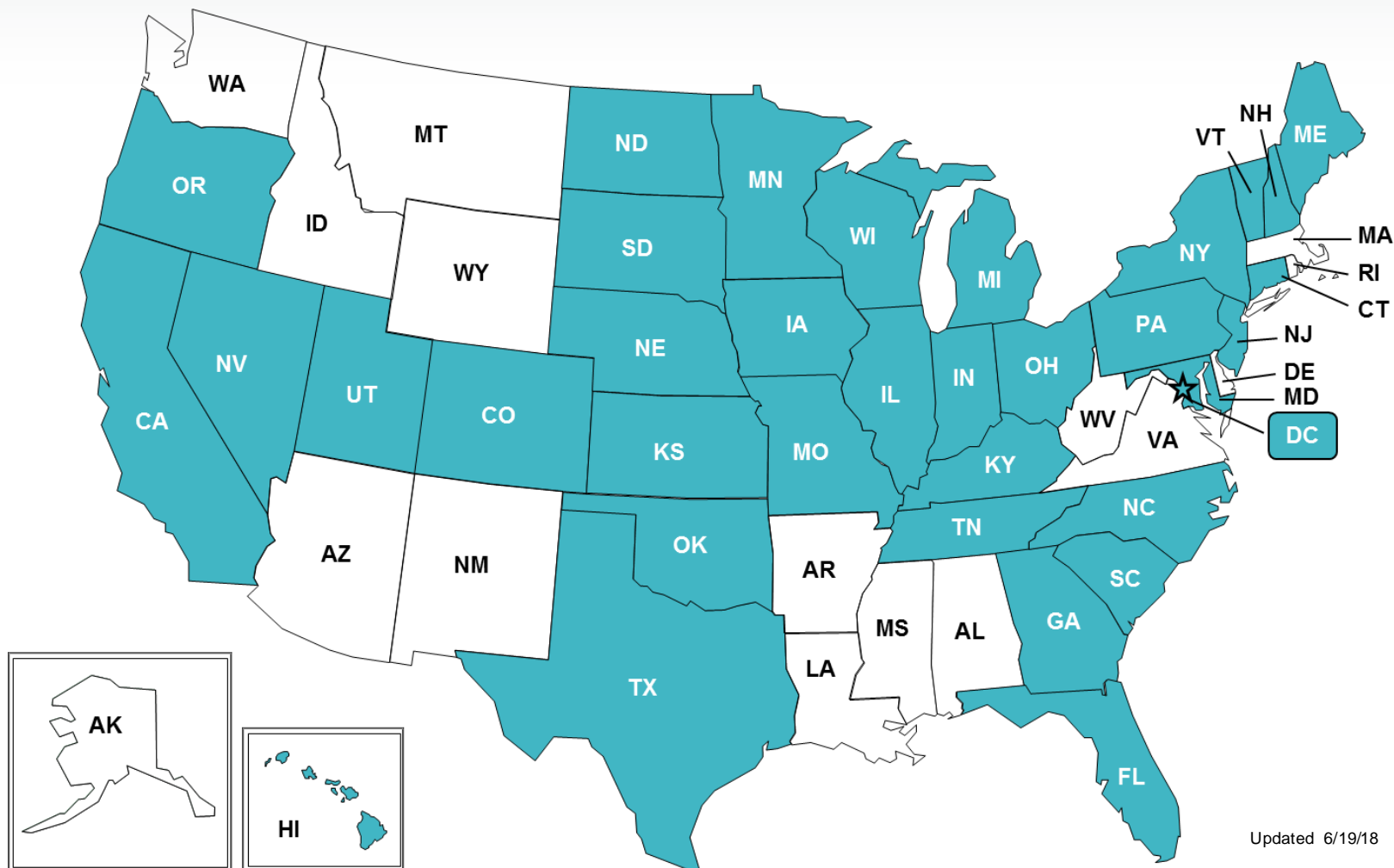
Nebraska Hospital Association
Nevada Department of Health and Human Services
New Hampshire Department of Health & Human Services
New Jersey Department of Health
New Mexico Department of Health
New York State Department of Health
North Carolina Department of Health and Human Services
North Dakota (data provided by the Minnesota Hospital Association)
Ohio Hospital Association
Oklahoma State Department of Health
Oregon Healthy Authority
Oregon Association of Hospitals and Health Systems
Pennsylvania Health Care Cost Containment Council
Rhode Island Department of Health
South Carolina Revenue and Fiscal Affairs Office
South Dakota Association of Healthcare Organizations
Tennessee Hospital Association
Texas Department of State Health Services
Utah Department of Health
Vermont Association of Hospitals and Health Systems
Virginia Health Information
Washington State Department of Health
West Virginia Health Care Authority
Wisconsin Department of Health Services
Wyoming Hospital Association

HCUP Partners Providing Inpatient Data



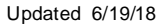
Partners Providing:	Inpatient Data	Non-participating
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HCUP Partners Providing Ambulatory Surgery & Services Data



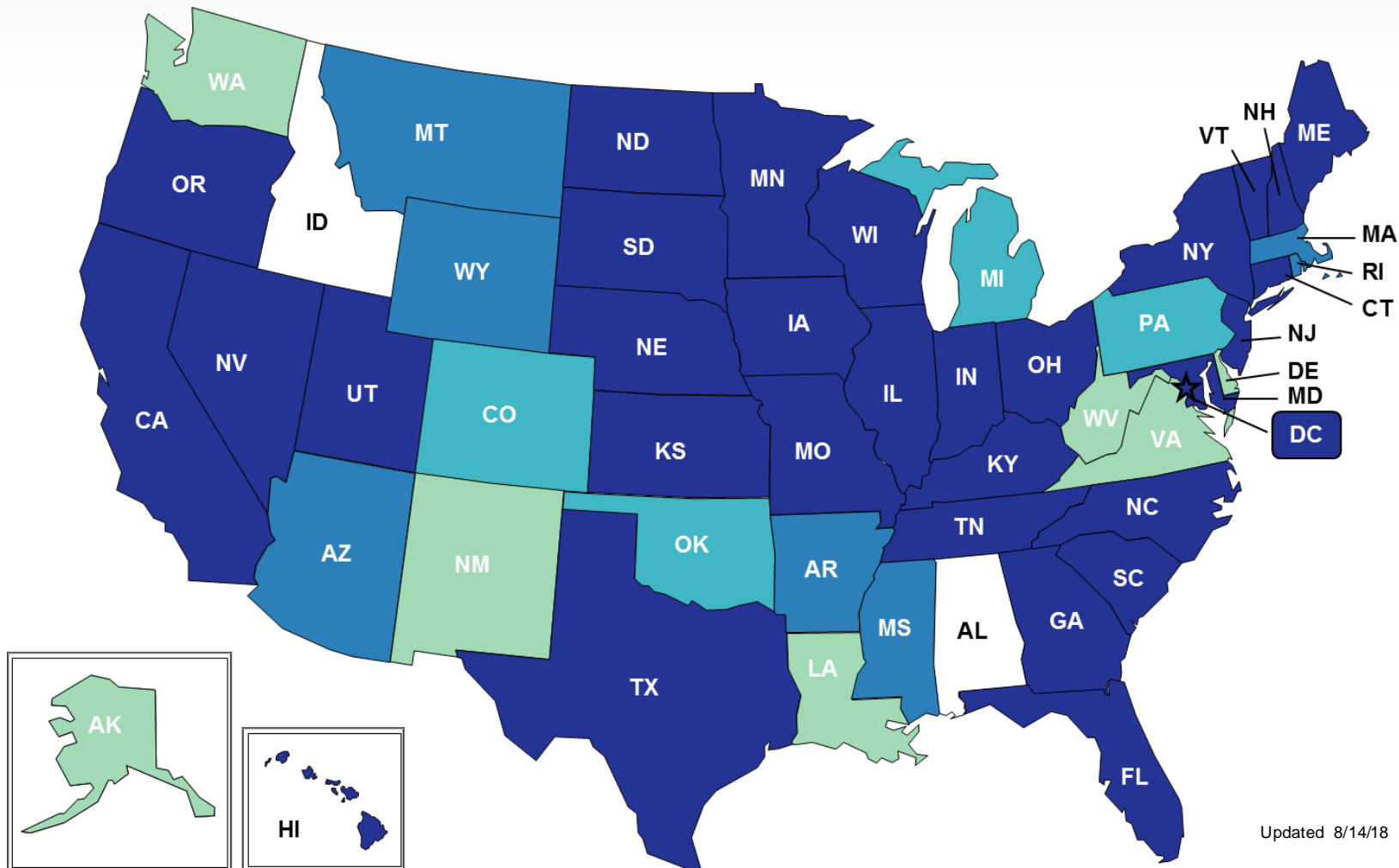
Updated 6/19/18

Partners Providing:	Ambulatory Surgery & Services Data	Non-participating
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Non-
participating

HCUP Participation by Data Type



Updated 8/14/18

Partners Providing:	Inpatient Data	Inpatient and Ambulatory Surgery & Services Data	Inpatient and Emergency Department Data	Inpatient, Ambulatory Surgery & Services, and Emergency Department Data	Non-participating



Presentation Objectives

Part I



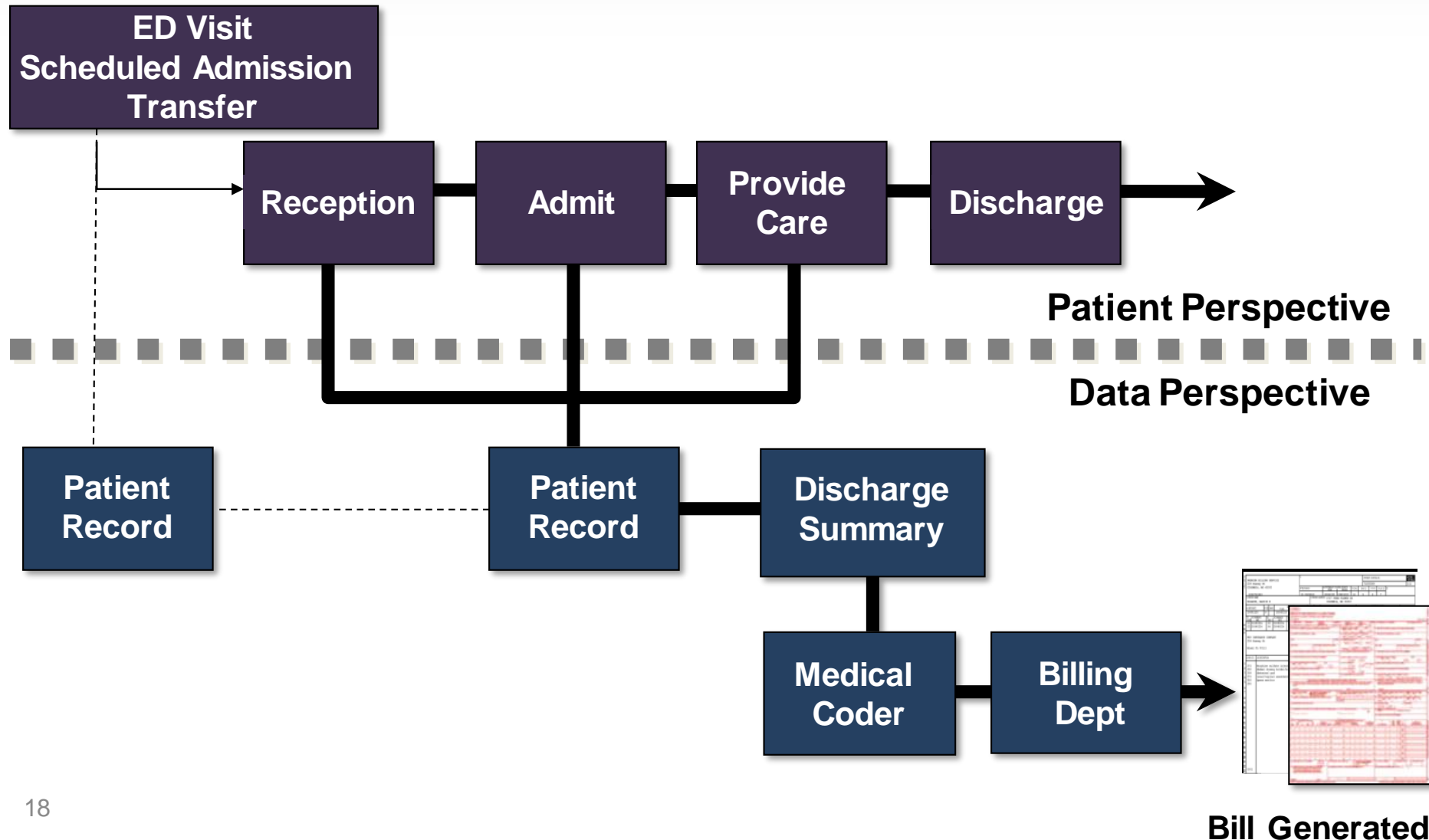
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Diagnoses
Procedures
Charges

										3		12/31/21 CITY OF NEW YORK STATE OF NEW YORK										4 TYPE OF BILL	
8 PATIENT NAME										a		8 PATIENT ADDRESS										a	
9												10											
11 BIRTHDATE										11 BIRTH		ADDRESS										12 DATE	
13 DATE										14 TYPE		15 DATE										16 DATE	
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From Patient Hospital Visit to Administrative Record





Patient enters
hospital



Billing
record
created



Hospital sends
billing data and any
additional data
elements to data
organizations

744	98	749	2	79	257	5	290
745	25	614	4	84	541	4	549
746	68	195	1	78	669	3	523
747	43	726	3	46	231	4	970
748	81	533	6	98	83	8	40
749	51	418	4	69	496	1	613
750	16	574	2	77	571	1	995
751	2	326	4	44	638	2	958
752	63	521	4	18	217	8	721
753	18	867	4	44	446	2	71
754	50	418	0	59	216	4	799
755	22	806	3	46	573	2	994
756	94	740	6	15	247	1	218
757	36	652	8	8	289	3	559
758	63	186	1	94	818	5	613
759	17	786	8	92	799	5	612
760	54	735	3	29	556	6	503
761	5	263	4	78	123	8	997
762	48	100	3	94	484	8	796
763	23	916	6	35	556	9	327
764	11	251	4	17	123	6	192
765	30	976	1	9	562	6	39

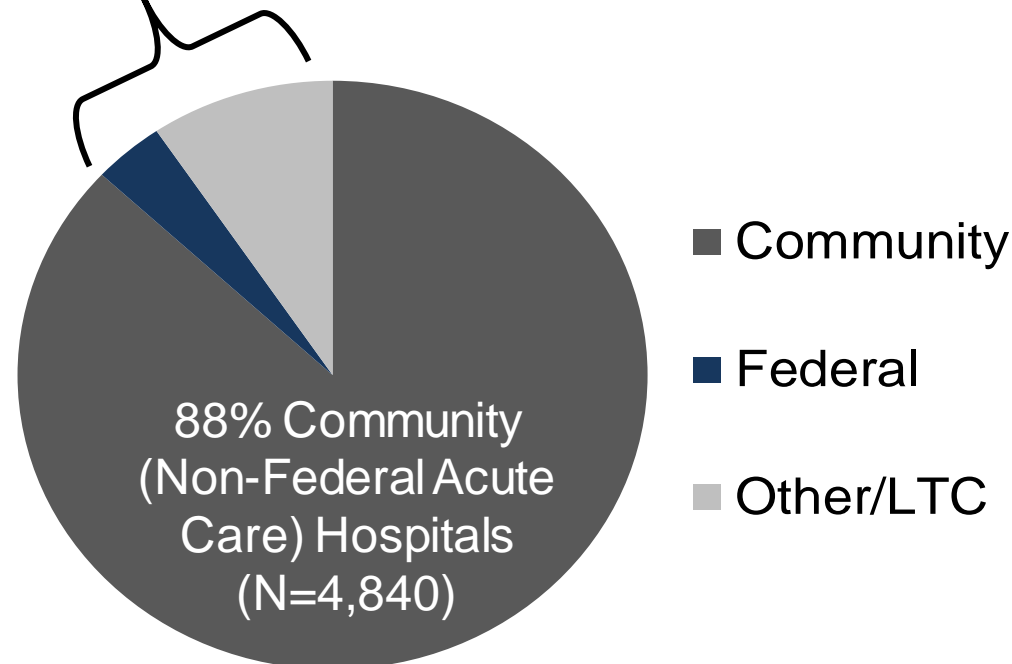
States store data in
varying formats



AHRQ standardizes
data to create
uniform HCUP
databases

- State data are mapped to a standardized HCUP format which allows for consistent data elements and values for comparison across States
- Additional data elements are available:
 - ▶ Value-added variables – injury indicators, chronic condition indicators, procedure class
 - ▶ Hospital characteristics – teaching status, ownership/control, bed size
 - ▶ Diagnostic related groups and severity measures –
 - AHRQ's Clinical Classifications Software (CCS)
 - 3M's All Patient Refined DRGs (APR-DRGs)
- Quality checks are performed

- 88% of hospitals in the U.S. are Community Hospitals
12% Non-Community Hospitals (Federal (DoD/VA/IHS), Non-Federal Psychiatric, Non-Federal Long Term Care, etc.)



What Are Community Hospitals?

American Hospital Association Definition:

Non-Federal, short-term, general, and other specialty hospitals, excluding hospital units of other institutions (e.g., prisons)

Included	Excluded
Multi-specialty general hospitals	Long-term care
OB-GYN	Psychiatric
ENT	Alcoholism/Chemical dependency
Orthopedic	Rehabilitation
Pediatric	DoD / VA / IHS
Public	
Academic medical centers	

What Are Community Hospitals?

- HCUP generally does not receive data from non-community hospitals, such as Psychiatric facilities.
- However, if a patient is treated for a mental health condition in a community hospital, their information is included.

Most Frequent Principal Diagnosis	Rate of Discharges per 100,000 Persons
1. Liveborn	1,188.2
2. Septicemia (except in labor)	548.8
3. Osteoarthritis	337.2
4. Congestive Heart Failure; nonhypertensive	294.9
5. Pneumonia (except that caused by tuberculosis or sexually transmitted disease)	275.1
6. Mood disorders	266.2
7. Cardiac dysrhythmias	211.0

HCUP has Seven Types of Databases

- Three State-Specific Databases



State
Inpatient
Databases
(SID)



State
Ambulatory
Surgery &
Services
Databases
(SASD)



State
Emergency
Department
Databases
(SEDD)

- Four Nationwide Databases



National
Inpatient
Sample
(NIS)



Kids'
Inpatient
Database
(KID)



Nationwide
Emergency
Department
Sample
(NEDS)



Nationwide
Readmissions
Database
(NRD)



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State Inpatient
Databases
(SID)

All **inpatient U.S. community hospital discharge data** (including those admissions that started in the ED) from participating HCUP States

State Ambulatory
Surgery & Services
Databases
(SASD)

Ambulatory surgery data (hospital-owned and some nonhospital-owned facilities) and other outpatient services from participating HCUP States

State Emergency
Department
Databases
(SEDD)

Emergency department data (treat-and-release) from participating HCUP States

What Data Elements are Included in the HCUP Databases?

Data Elements:

- Patient demographics (e.g., age, sex, and, for some States, race)
- Diagnoses & procedures
- Expected payment source
- Length of stay
- Admission and discharge status
- Point of origin
- Total charges



Some Data Elements Vary by State

- Race/Ethnicity
- Patient county
- Patient ZIP Code
- Severity of illness
- Birthweight
- Procedure date (days from admission to procedure)
- Health plan details
- Additional expected payers
- Detailed charges
- Patient identifiers (encrypted)
- Physician identifiers (encrypted)
- Physician specialty
- Hospital identifier (unencrypted)



Example: Payer Detail Varies by State

PAY1_X		PAY1 (Standardized)	
Value	Description	Value	Description
010	Medicare	1	Medicare
011	Medicare (HMO)		
012	Medicare (Managed care - Other)		
013	Medicare (fee for service)		
020	Medi-Cal	2	Medicaid
021	Medi-Cal (HMO)		
022	Medi-Cal (Managed care - Other)		
023	Medi-Cal (fee for service)		
030	Private Coverage	3	Private insurance
031	Private Coverage (HMO)		
032	Private Coverage (Managed care - Other)		
033	Private Coverage (fee for service)		
08n, where n=0-3	Self-pay	4	Self-pay
--		5	No charge

Example: Race Detail Varies by State

RACE_X		RACE (Standardized)	
Value	Description	Value	Description
1	White	1	White
2	Black	2	Black
3	Hispanic	3	Hispanic
4	Hawaiian	4	Asian or Pacific Islander
5	Chinese		
6	Filipino		
7	Japanese		
8	Other Asian		
9	Other Pacific Islander		
10	Native American	5	Native American
11	Mixed or Other	6	Other

- HCUP State Files vs. Data Files received directly from the State Partners

HCUP State Files	HCUP Partner-Provided Files
Subset of data elements	All data elements
Value-added data elements available	May not have same value-added elements available
Uniformly coded across the States	Not uniformly coded across states
Standard data quality checks	Variability in quality checks by state
Lag time	More timely

2015 State and Nationwide Databases: Revised Structure

ICD-10-CM/PCS implementation



Q1-Q3 2015

Q4 2015

- ▶ Q1 to Q3 contain ICD-9-CM Codes
- ▶ Q4 contain ICD-10-CM/PCS codes
- File structure changed in 2015 to separate Q1-Q3 and Q4 data
 - ▶ **State databases:** all file types have records split into Q1-Q3 and Q4 files
 - ▶ **Nationwide databases:** only file types containing DX/PR related variables are split into Q1-Q3 and Q4 files
- AHRQ-created resources help users with transition:
 - ▶ [2015 HCUP State Databases: Change in Structure and Data Elements Caused by Transition to ICD-10-CM/PCS \(PDF\)](#)
 - ▶ [2015 HCUP Nationwide Databases: Change in Structure in Data Elements \(PDFs specific to NIS, NEDS, and NRD\)](#)
 - ▶ Data Innovations – ICD-10-CM/PCS Resources page: www.hcup-us.ahrq.gov/datainnovations/icd10_resources.jsp



Partners Releasing Databases through HCUP Central Distributor



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HEALTHCARE COST AND UTILIZATION PROJECT

- Arizona
- Arkansas
- California
- Colorado
- District of Columbia
- Florida
- Georgia
- Hawaii
- Iowa
- Kansas
- Kentucky
- Maine
- Maryland
- Massachusetts
- Michigan
- Minnesota
- Mississippi
- Nebraska
- Nevada
- New Jersey
- New Mexico
- New York
- North Carolina
- Oregon
- Rhode Island
- South Carolina
- South Dakota
- Utah
- Vermont
- Washington
- West Virginia
- Wisconsin

Remember:

**Not all States
participate in all
years and for all
databases**



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HCUP Nationwide Databases



National (Nationwide)
Inpatient Sample
(NIS)

Inpatient discharge data for a **sample of discharges from all hospitals** in SID

Kids' Inpatient
Database
(KID)

Pediatric inpatient hospital discharge data from a **sample of pediatric discharges** in SID

Nationwide Emergency
Department Sample
(NEDS)

Emergency department data (treat and release & admitted) from a **sample of hospitals** in SID and SEDD

Nationwide
Readmissions Database
(NRD)

Inpatient discharge data from **all hospitals for SID with verified patient linkage numbers**



Many Potential Applications of HCUP National Databases



- National and regional estimates
- Utilization, charges, and outcomes
- Utilization of health services by priority populations
- Hospital care for rare conditions
- Quality of care and patient safety
- Impact of health policy changes
- Access to care



Comparison of the HCUP Inpatient Databases



	HCUP Inpatient Databases			
HCUP Database	SID (2015)	NIS (2015)	KID (2012)	NRD (2015)
States	46 States + DC	46 States + DC	44	27
Hospitals	4,600	4,500	4,200	2,300
Inpatient discharges	34 million	7 million	3 million	17 million
Derived From	--	SID	SID	SID
Uses	Examine State and local market area statistics on health care utilization, access, quality, patient safety, etc. Readmission analyses possible in some States.	Generate national and regional estimates of health care utilization, access, quality, patient safety, etc.	Generate national and regional <u>pediatric</u> estimates of health care statistics.	Generate national estimates of all-cause and condition-specific readmissions .

State and Nationwide Database Size – Outpatient Data



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	Emergency Department Data		Ambulatory Surgery and Services Data
HCUP Database	SEDD (2015)	NEDS (2015)	SASD (2015)
Hospitals	3,300	953	3,600
Records	93 million	31 million	17 million surgeries; 86 million other outpatient services
Derived From	–	SID & SEDD	–
Includes	All ED visits in a given State that do not result in an admission	Sample of hospital-based EDs with ED admissions and ED outpatient visits	Encounter-level data for ambulatory surgeries and other outpatient services from hospital-owned facilities



NIS is a Stratified Sample of Discharges from the SID



State Inpatient Databases (SID)

~ 5,500 hospitals
~ 34 M records

Strata

- Ownership/Control
- Bed Size
- Teaching Status
- Urban/Rural Location
- U.S. Census Division

Stratified Sample of Discharges

*State not included in the stratum

Within strata sort by hospital, DRG, and admission month and select 1 in 5 records

National Inpatient Sample (NIS)

~ 4,500 hospitals
~ 7 M records



Statistics listed from 2015 data year

Comparing SID with NIS

	SID	NIS
Linkage to AHA Annual Survey Data	Yes, for some States	Only 2011 and prior years
Revisit analyses	Yes, for some States	Not applicable
Uniformity of coding	State-specific data elements and detailed coding	Common data elements and HCUP uniform coding
Level of analysis available	State, local market area, and community statistics	Generate national and regional estimates

KID is a Stratified Sample of Discharges from the SID

State Inpatient Databases (SID)

~ 4,380 hospitals
~ 34.3M records

Strata

- Uncomplicated Births
- Complicated Births
- Pediatric Non-Births

Stratified Sample of Discharges

*State not included in the stratum

- 10% uncomplicated births

- 80% pediatric discharges

Kids' Inpatient Database (KID)

~ 4,000 hospitals
~ 3M records



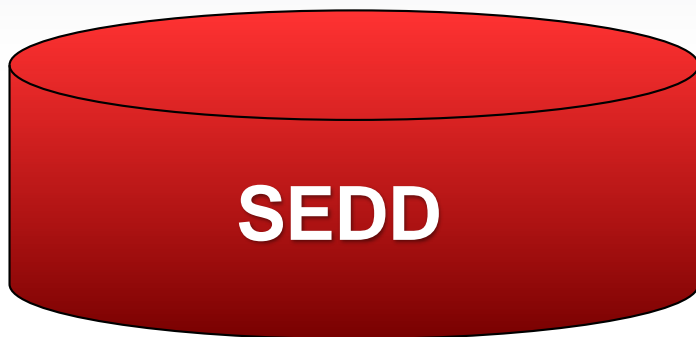
Statistics listed from 2012 data year



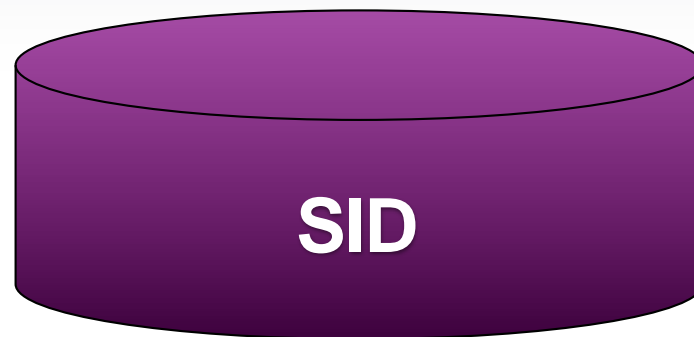
KID Planned for Release Using 2016 Data



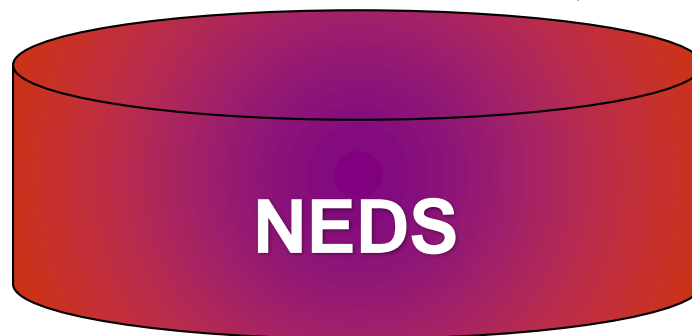
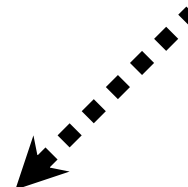
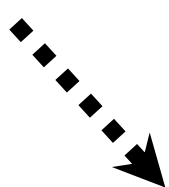
- Historically, the KID has been produced every three years beginning in 1997 and currently through 2012.
- Given that hospital discharge data for 2015 contains a mix of ICD-9-CM and ICD-10-CM/PCS data, the next KID will be available for the 2016 data year and will be comprised of ICD-10-CM/PCS data only.
- This decision was made due to the complexities of analyzing a mixed coded data year.



Treat-and-Release ED Visits



Admitted ED Visits



**~ 77% of ED visits
are treat-and-
release**

**~ 14% of ED visits
result in a
hospital stay**

Statistics listed from 2015 data year



NEDS is a Stratified Sample of Hospitals from the SEDD and SID



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**State
Inpatient
Databases
(SID)**

**State Emergency
Department
Databases
(SEDD)**

Strata

- U.S. Region
- Urban/Rural Location
- Teaching Status
- Ownership/Control
- Trauma center

Stratified Sample of Hospitals

*State not included in the stratum

Statistics listed from 2015 data year



**Nationwide
Emergency
Department
Sample
(NEDS)**

~ 950 hospitals
~ 31M ED visits

NRD is Constructed from SID with Verified Patient Linkage Numbers

State Inpatient Databases (SID)

Hospital and Patient
Exclusions

Strata

- U.S. Region
- Urban/Rural Location
- Teaching Status
- Size
- Ownership/Control
- Patient Characteristics (age and sex)

All Discharges (after exclusions)

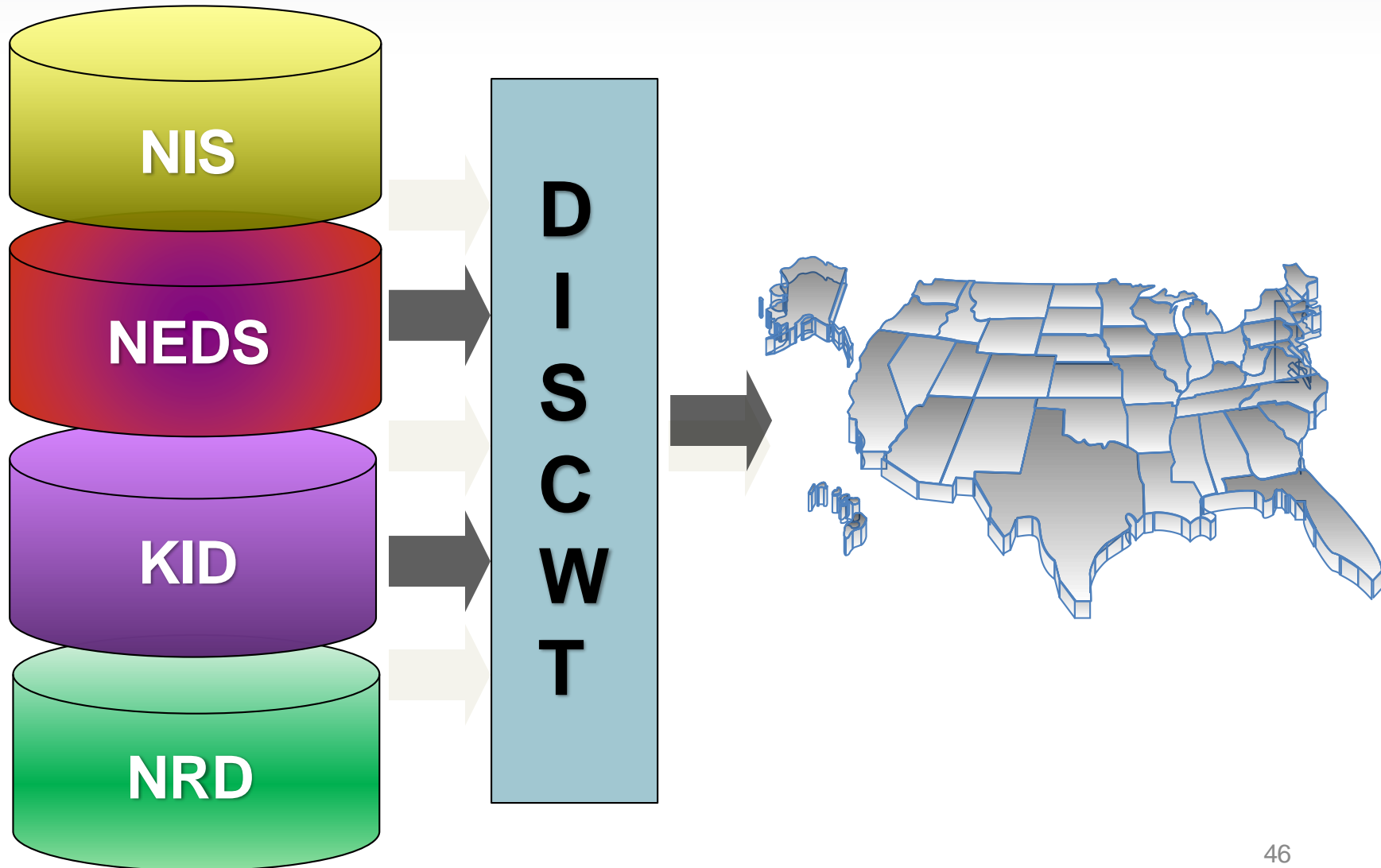
Statistics listed from 2015 data year



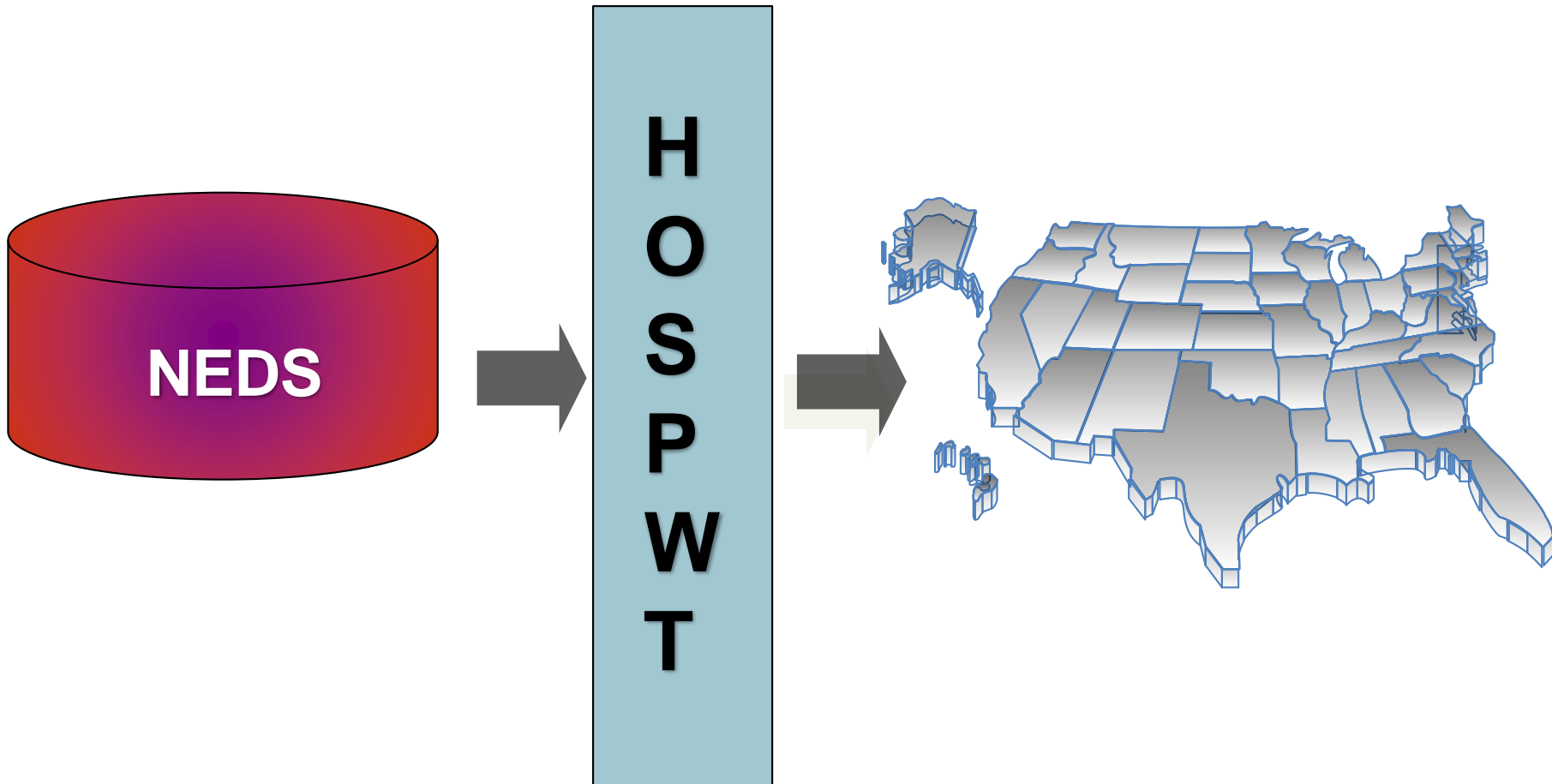
Nationwide Readmissions Database (NRD)

~ 2K hospitals
~ 17M records

NIS, NEDS, KID, NRD: Must be Weighted to Produce National and Regional Estimates



Must be Weighted to Produce National and Regional Estimates



What Types of Care Are and Are Not Captured by HCUP?

Included in HCUP

Inpatient Care	State Inpatient Databases (SID) National (Nationwide) Inpatient Sample (NIS) Kids' Inpatient Database (KID) Nationwide Readmissions Database (NRD)
Emergency Department	State Emergency Department Databases (SEDD) Nationwide Emergency Department Sample (NEDS)
Ambulatory Surgery & Services	State Ambulatory Surgery & Services Databases (SASD)
Other Non-Emergent Outpatient Services	State Ambulatory Surgery & Services Databases (SASD)

Not Included in HCUP

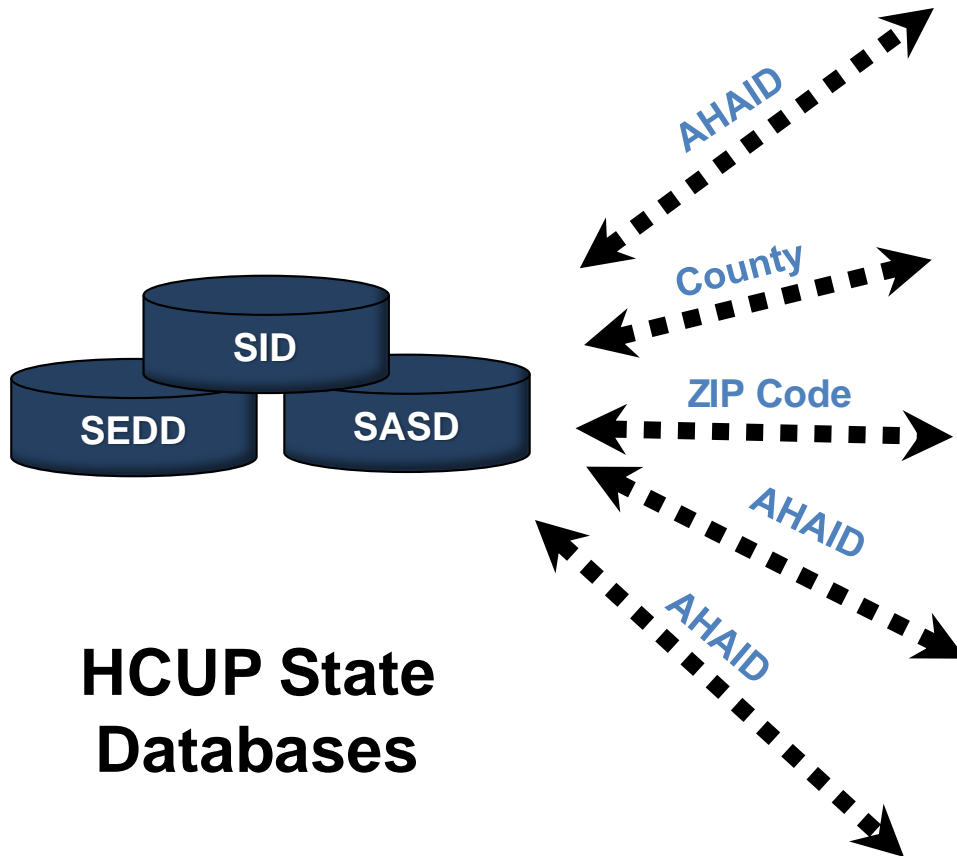
Physician office visits

Pharmacy

Labs/Radiology



Some Limitations Can be Addressed by Linking to Other Databases



American Hospital Association
(AHA) Annual Survey

Health Resources and Services
Administration's (HRSA) Area
Health Resource File (AHRF)

Zip Code Files from Census
or Vendor

Medicare Cost Reports

Trauma Information Exchange
Program (TIEP)

HCUP is...	HCUP is NOT...
A family of discharge databases for health care encounters	A survey
All payer, including the uninsured	Specific to a single payer, e.g. Medicare
Hospital, ambulatory surgery and services, emergency department data	Office visits, pharmacy, laboratory, radiology
All hospital discharges	Hospital claims
Accessible multiple ways: raw data, regular reports, online	Only a database – it includes additional tools and resources

Hospital Billing Data Have Benefits and Limitations

Benefits

Large number of records

Uniformity in coding

Regular, routine collection

Ease of access

All payers, including the uninsured

Available at local, state, regional, and national level

Supplemental files available to facilitate research

Limitations

Limited clinical details

Lack reimbursed claims information

Does not include all hospital types (e.g., VA and DoD)

Does not show complete episode of care

No data on individuals outside of the hospital system

Cannot link national databases to external sources

Differences in coding across hospitals

- Seven types of HCUP databases
- Databases are based on administrative hospital data: inpatient, emergency department, and ambulatory surgery and services
- Available for multiple years
 - ▶ Nationwide
 - NIS (1988-2015)
 - NEDS (2006-2015)
 - KID (1997, 2000, 2003, 2006, 2009, 2012)
 - NRD (2010-2015)
 - ▶ State
 - SID (1990-2016)
 - SASD (1997-2016)
 - SEDD (1999-2016)
- Can look at breadth of health care issues
- Can be linked to external files

Find out more on
HCUP-US!

www.hcup-us.ahrq.gov/



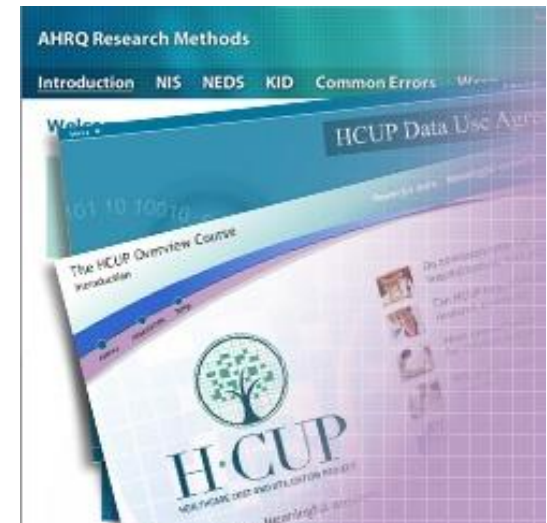
Presentation Objectives

Part I



- **Project Overview**
- **HCUP Partners**
- **The Making of HCUP Data**
- **HCUP State Databases**
- **HCUP Nationwide Databases**
- **How to Obtain HCUP Data & Access HCUP Resources**

- Processed data sent to HCUP Partners
- State Databases become available to public through the HCUP Central Distributor
- Nationwide Databases become available for download through the HCUP Central Distributor





- Visit the HCUP Central Distributor.
- The Central Distributor provides one stop shopping for purchasing many of the State Databases, as well as the Nationwide Databases.
- Not all data elements are available from every Partner Organization, and not all Partner Organizations make their data available through the Central Distributor.
- Some Partner Organizations may place additional restrictions on the sale of their data.

HCUP Central Distributor

www.hcup-us.ahrq.gov/tech_assist/centdist.jsp



Purchase Data Online Through the HCUP Central Distributor



Step 1: Take Data Use Agreement (DUA) online training:

www.hcup-us.ahrq.gov/tech_assist/dua.jsp

Step 2: Login or register for an account:

www.hcup-us.ahrq.gov/tech_assist/centdist.jsp

Step 3: Create your profile under “My Account”

Step 4: Submit online order and complete further instructions listed on the “Thank You” page

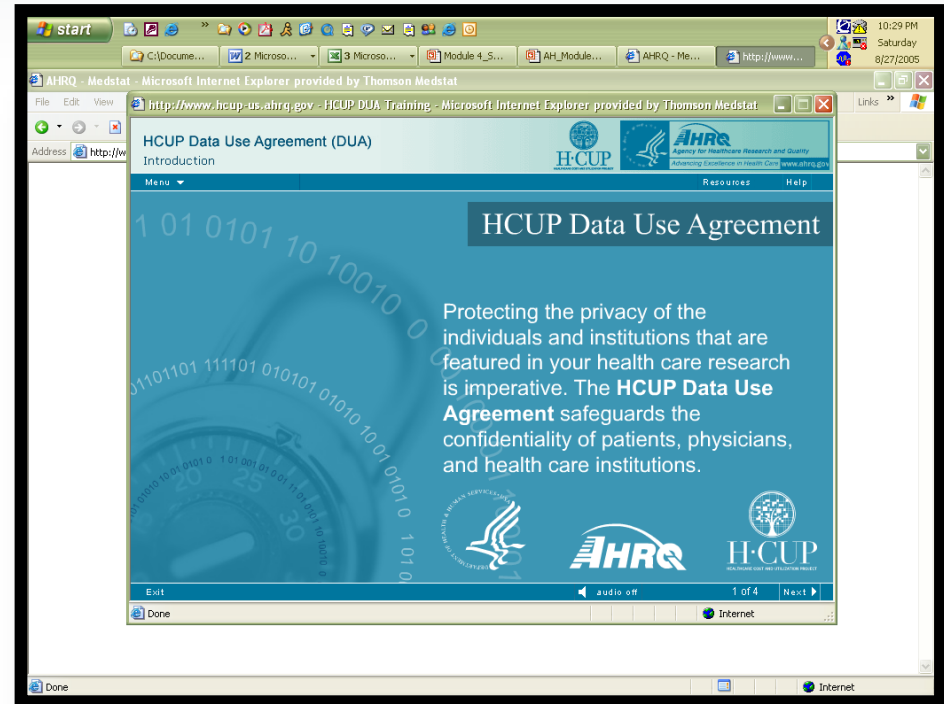
Step 5: Download Nationwide Databases online or receive delivery of State Databases through the mail.

For assistance, contact the HCUP Central Distributor:

- ▶ Phone: 866-556-HCUP (4287) toll free
- ▶ Email: HCUPDistributor@ahrq.gov

Additional Requirement: Electronic Data Use Agreement (DUA) Course

- **Purpose of the Course:**
 - ▶ Emphasize the importance of **data protection**
 - ▶ Reduce the risk of **inadvertent violations**
 - ▶ Describe your **individual responsibility** when using HCUP data



Takes 15 minutes to Complete

www.hcup-us.ahrq.gov/tech_assist/dua.jsp

Pricing Information Per Data Year

Nationwide Databases (NIS, KID, NEDS, NRD)

- ▶ **NIS**: \$625 beginning 2016, student price \$125
- ▶ **KID**: \$350 beginning 2009, student price \$50
- ▶ **NEDS**: \$750 beginning 2014, student price \$150
- ▶ **NRD**: \$1,000 beginning 2015, student price \$200





State Databases (SID, SASD, SEDD)

- ▶ Varies by state, database, year, and type of applicant
- ▶ \$50 - \$3,200

A yellow starburst graphic with a black outline, containing text.

Funds for State
data sales
returned to
HCUP Partners

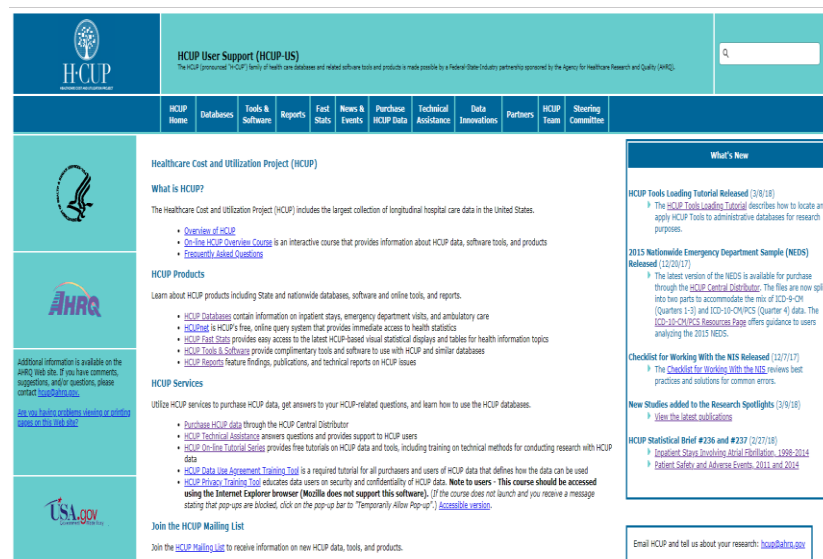
Software Requirements of Working with the Full HCUP Files

Software Package	Load Programs	Format Programs	Example Statistical Coding	HCUP Tools Programs
	X	X	X	X
	X		X	X
	X			X
			X	

MS Excel and Access are NOT GOOD Options!

- Find detailed information on HCUP databases, tools, and products
- Access HCUPnet, HCUP Fast Stats, the Central Distributor, Online Tutorials, and more
- Find comprehensive list of HCUP-related publications and database reports
- Access technical assistance

Visit us at
www.hcup-us.ahrq.gov



The screenshot shows the HCUP User Support (HCUP-US) website. The header includes the HCUP logo and the text "HCUP User Support (HCUP-US)". Below the header is a navigation bar with links to Home, Databases, Tools & Software, Reports, Fast Stats, News & Events, Purchase HCUP Data, Technical Assistance, Data Innovations, Partners, HCUP Teams, and Steering Committee. The main content area is divided into several sections: "What is HCUP?", "HCUP Products", "HCUP Services", and "What's New". The "What's New" section highlights recent releases, including the HCUP Tools Loading Tutorial, the 2015 Nationwide Emergency Department Sample (NEDS) Release, and the HCUP Statistical Brief #236 and #237. The footer includes a link to the HCUP Mailing List and a contact email address: hcup@ahrq.gov.



HCUP-US for Database Documentation



Nationwide HCUP Databases

HCUP's National (Nationwide) databases can be used to identify, track, and analyze national trends in health care utilization, access, charges, quality, and outcomes.

National (Nationwide) Inpatient Sample (NIS)

- [NIS Database Documentation](#)

Kids' Inpatient Database (KID)

- [KID Database Documentation](#)

Nationwide Emergency Department Sample (NEDS)

- [NEDS Database Documentation](#)

Nationwide Readmissions Database (NRD)

- [NRD Database Documentation](#)

State-Specific HCUP Databases

HCUP's State-specific databases can be used to investigate State-specific and multi-State trends in health care utilization, access, charges, quality, and outcomes.

State Inpatient Databases (SID)

- [SID Database Documentation](#)

State Ambulatory Surgery and Services Databases (SASD)

- [SASD Database Documentation](#)

State Emergency Department Databases (SEDD)

- [SEDD Database Documentation](#)

www.hcup-us.ahrq.gov/databases.jsp



Presentation Objectives

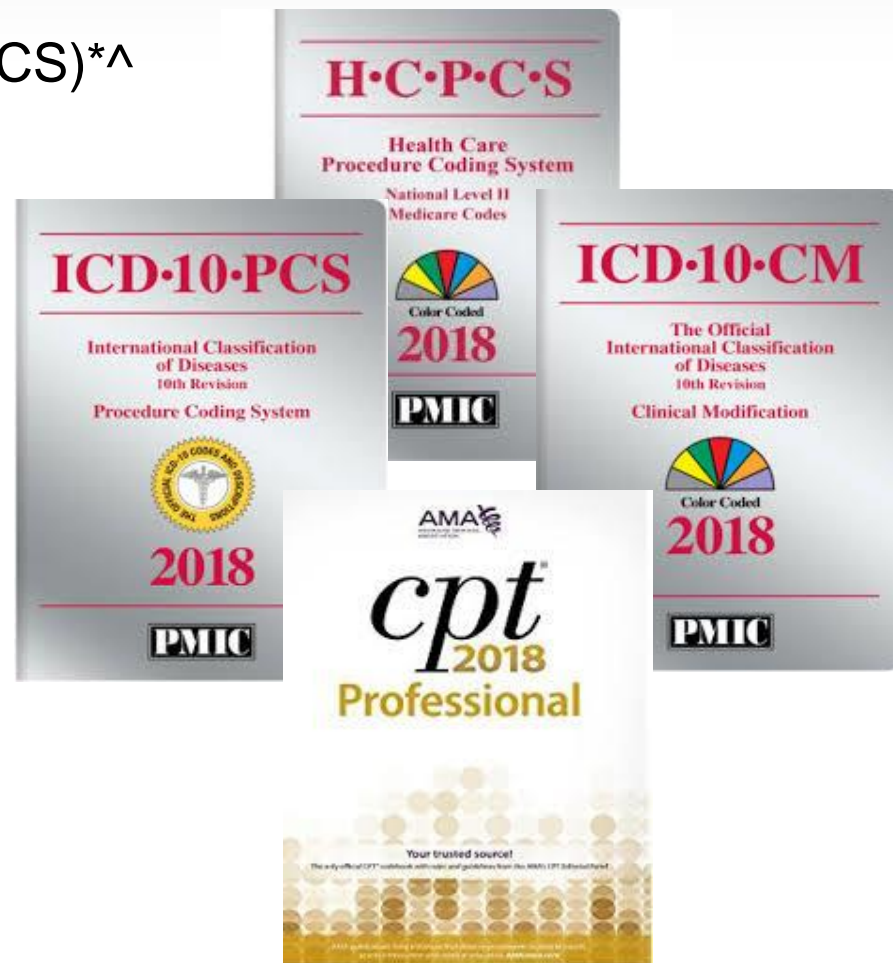
Part II



- **Tools & Software**
- **Supplemental Files**
- **HCUPnet Overview**
- **HCUP Fast Stats**
- **Publications and Publication Search**
- **How to Access HCUP Resources**

Value-Added Clinical and Quality Measurement Tools

- Clinical Classifications Software (CCS)*^
- Procedure Classes*^
- Chronic Condition Indicator*^
- Elixhauser Comorbidity Software*^
- Utilization Flags*^
- Surgery Flags*
- AHRQ Quality Indicators^
 - Prevention Quality Indicators
 - Inpatient Quality Indicators
 - Patient Safety Indicators
 - Pediatric Quality Indicators



*Available on most HCUP databases **through September 30, 2015**

^Available for ICD-9-CM and ICD-10-CM/PCS

- Clusters diagnosis and procedure codes into categories
 - ▶ >14,000 diagnoses codes → 285 categories
 - ▶ > 3,900 procedure codes → 231 categories
- Useful for presenting descriptive statistics and understanding patterns

ICD-9-CM Codes

CCS Categories

CCS for
ICD-9

0031 0202 0223 0362
0380 0381 03810 03811
03819 0382 0383 03840
03841 03842 03843
03844 03849 0388 0389
0545 449 7907

CCS 2: Septicemia

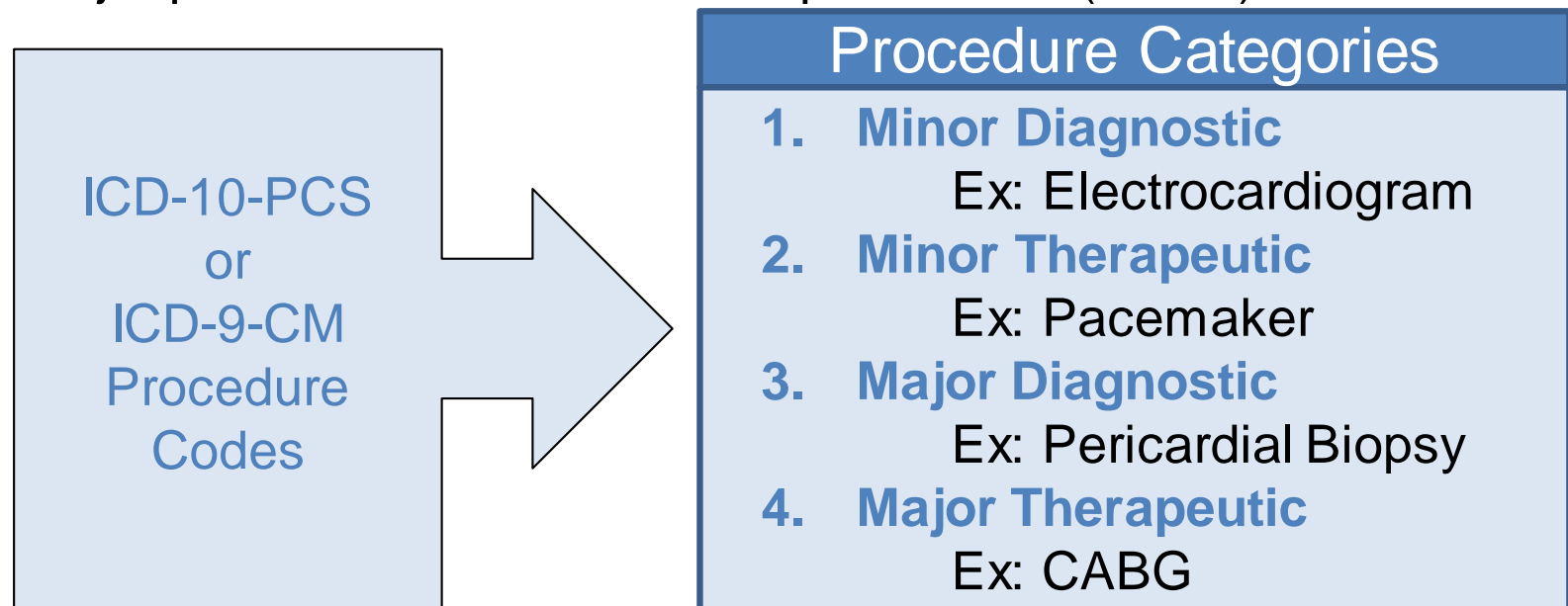
0700 0701 0702 07020
07021 07022 07023 0703
07030 07031 07032
07033 0704 07041 07042
07043 07044 07049

CCS 6: Hepatitis

Clinical Classifications Software (CCS) Versions

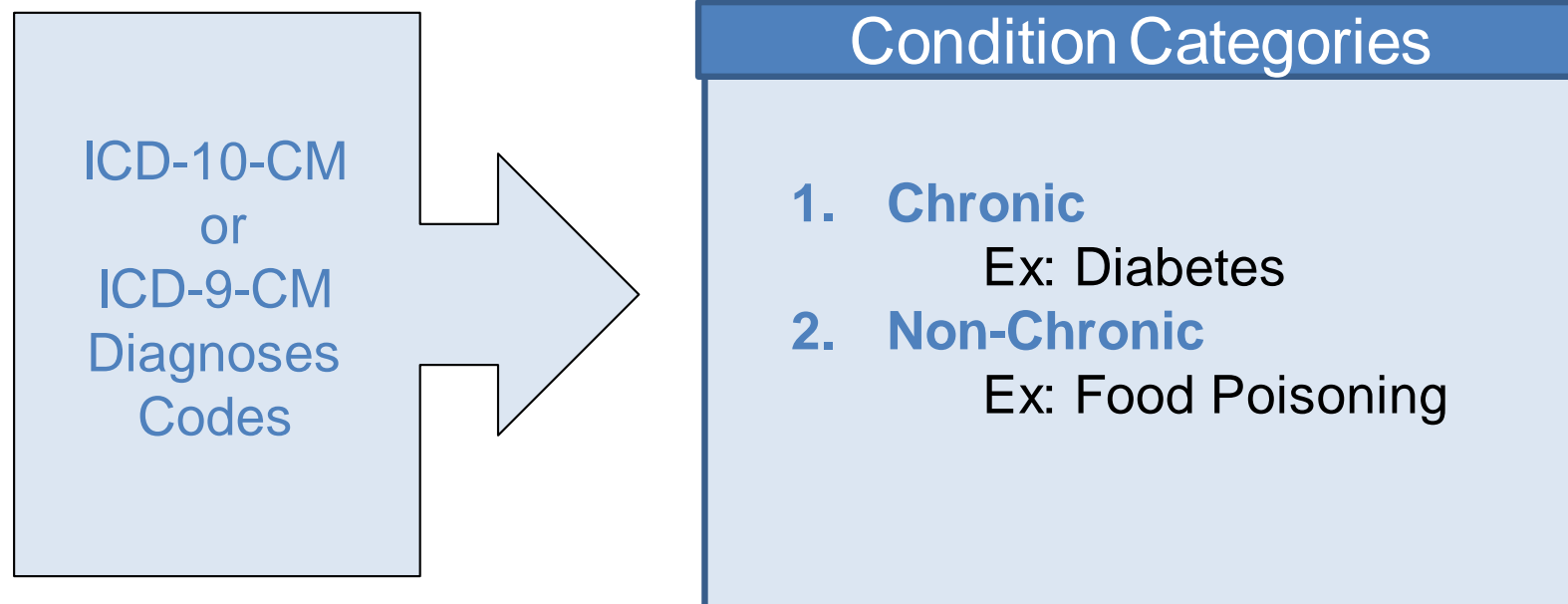
- **CCS for ICD-9-CM**
 - ▶ Single-level & Multi-level
 - ▶ Valid through FY 2015
- **Beta CCS for ICD-10-CM/PCS**
 - ▶ Single-level & first and second multi-level categories only
 - ▶ Valid through FY 2018
- **CCS for Mortality Reporting**
 - ▶ Codes are valid through December 2009
- **CCS for Services and Procedures**
 - ▶ Classifies CPT/HCPCS codes
 - ▶ Valid through December 2018

- Groups procedure codes into one of four categories
 - ▶ Beta Procedure Classes for ICD-10-PCS
 - ▶ Valid through FY 2018
 - ▶ More than 71,900 procedure codes!
 - ▶ Procedure Classes for ICD-9-CM
 - ▶ Valid through FY 2015
 - ▶ Approximately 4,000 procedure codes
- Major procedures defined as OR procedures (DRGs)

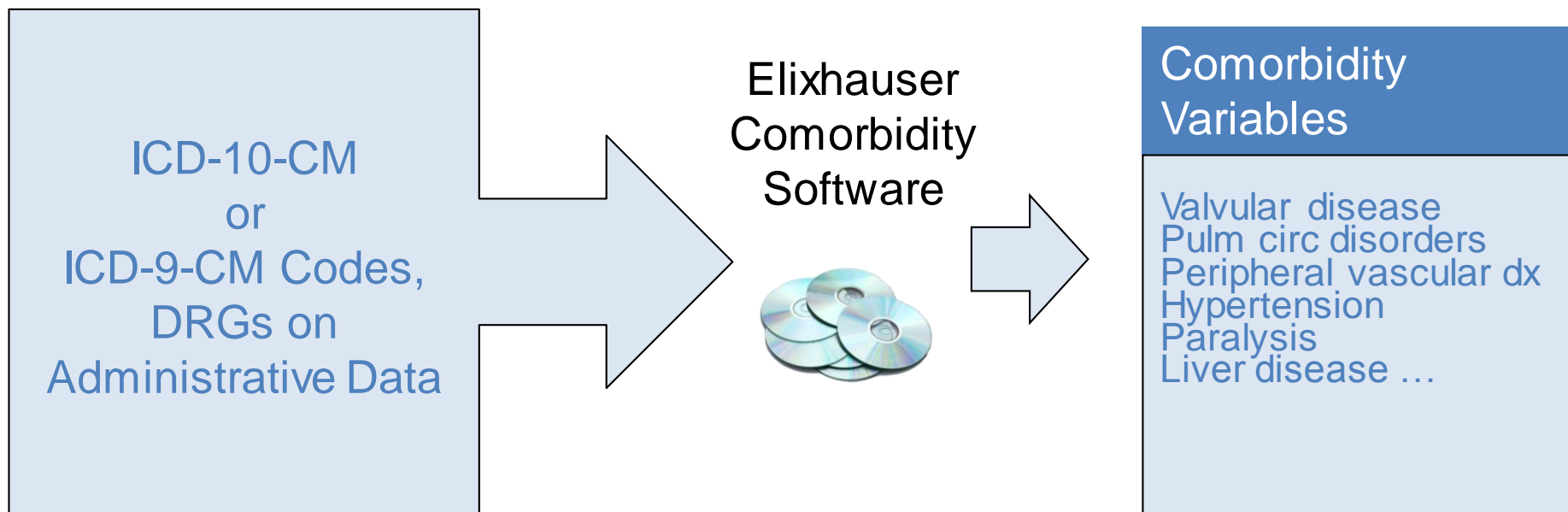


Group diagnosis codes into Chronic or Non-Chronic Categories

- Beta CCI for ICD-10-CM diagnoses codes valid through FY 2018
- CCI for ICD-9-CM diagnoses codes valid through FY 2015

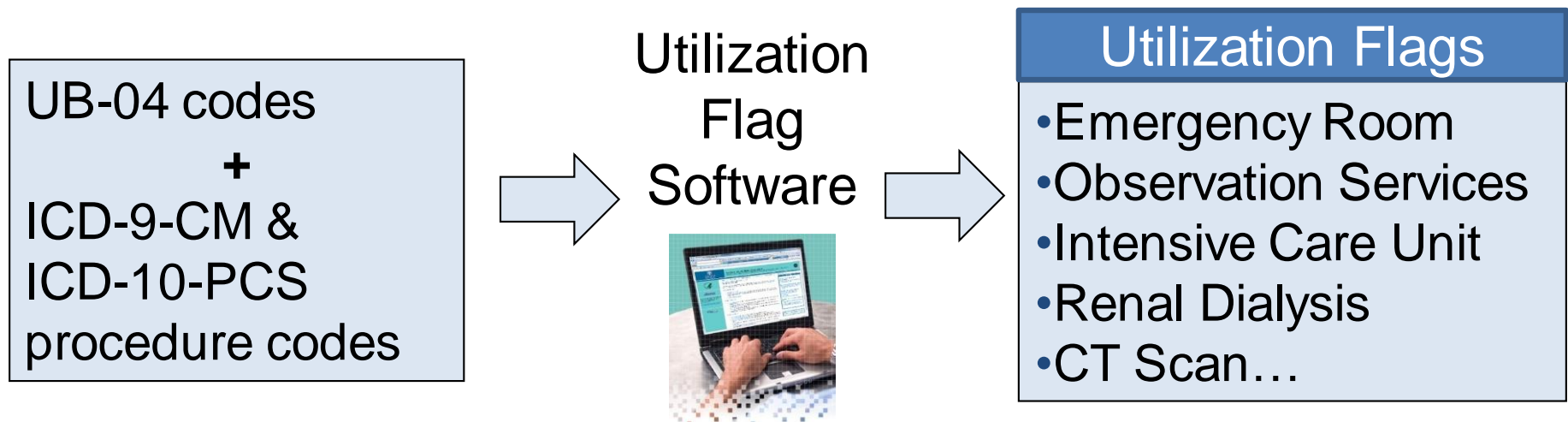


- Creates indicator flags for 29 major comorbidities
 - ▶ Elixhauser Comorbidity Software Version 3.7 for ICD-9-CM diagnoses codes valid through FY 2015
 - ▶ Beta Elixhauser Comorbidity Software Version 2018.1 for ICD-10-CM diagnoses codes valid through FY 2018



- Two indices based on Elixhauser Comorbidity Software now available on HCUP-US
 - ▶ Applies weights to inpatient records and creates the two indices for the software –
 - » One for **In-hospital mortality** and
 - » One for **Readmission**
 - ▶ The resulting index score(s) can be used in analyses in place of the 29 individual measures.
- Elixhauser Comorbidity Version 3.7 is available for ICD-9-CM data only

- Reveals additional information about the use of health care services
- Primarily uses UB-04 revenue codes, augmented with ICD-9-CM and ICD-10-PCS procedure codes
- Two versions available:
 - ▶ Utilization Flags for ICD-9-CM valid through December 2014
 - ▶ Utilization Flags for ICD-10-PCS valid through FY 2018



Utilization Flags

Accommodation

Intensive Care Unit (ICU)	Coronary Care Unit (CCU)
Newborn Level II	Newborn Level III
Newborn Level IV	

Cardiac Services

Cardiac Catheterization Lab	Cardiac Stress Test
Echocardiogram	Electrocardiogram (EKG)

Imaging and Diagnostic Tests

Computed Tomography (CT) Scan	Chest X-Ray
Electroencephalogram (EEG)	Ultrasound
Magnetic Resonance Technology (MRT)	Nuclear Medicine

Devices

Pacemaker	Other Implants
-----------	----------------

Therapeutic Services

Lithotripsy	Occupational Therapy
Physical Therapy	Respiratory Therapy
Therapeutic Radiology and Chemotherapy	Renal Dialysis
Speech-Language Pathology	Erythropoietin (EPO)
Mental Health and Substance Abuse	Blood

There are not ICD-9-CM codes and ICD-10 PCS codes for all services. Concern exists that some diagnostic procedures may be under-reported.

- Identifies encounters for surgical procedures in ICD-9-CM or CPT-based inpatient and ambulatory surgery data
- Valid for codes through December 2015

1. Narrow

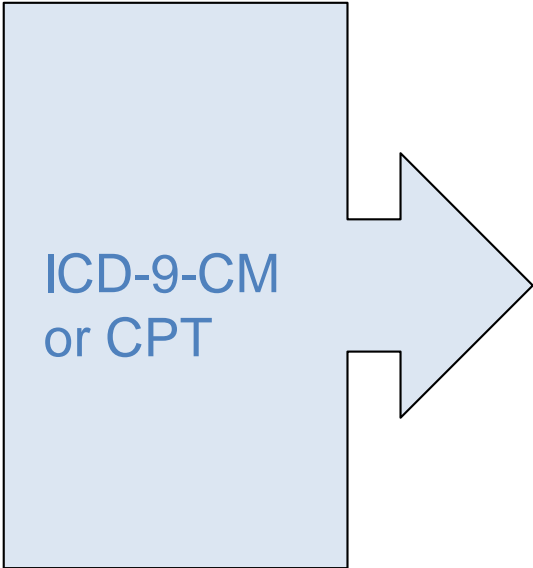
- Invasive therapeutic surgical procedure involving incision, excision, manipulation, or suturing of tissue that penetrates or breaks the skin
- Typically requires use of an operating room
- Requires regional anesthesia, general anesthesia, or sedation to control pain

2. Broad

- Includes all narrowly defined surgical procedures as well as a broader group of diagnostic and less invasive therapeutic surgeries

3. Neither Broad nor Narrow

- Ex: Use of endoscopes for diagnostic purposes only and for which nothing was removed

A large, light blue arrow pointing to the right, with the text "ICD-9-CM or CPT" inside it.

ICD-9-CM
or CPT

Use of HCUP Tools with ICD-10-CM/PCS Data

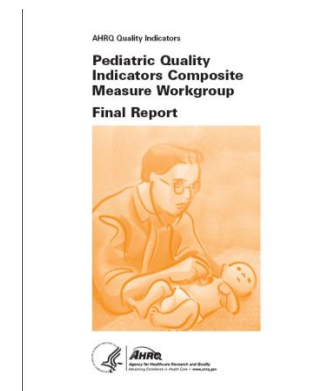
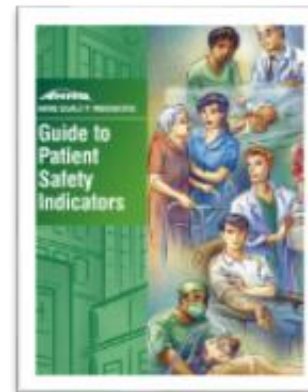
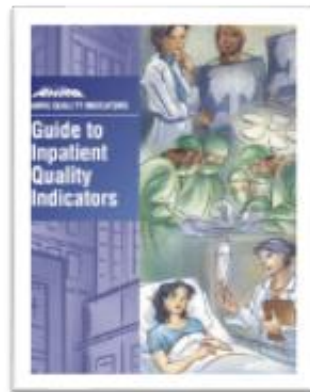
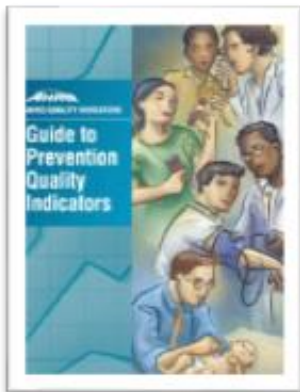
- Users are advised to visit the HCUP-US Tools & Software page regularly to ensure they have the most recent version of the HCUP tools downloaded and applied to their data.

www.hcup-us.ahrq.gov/tools_software.jsp

- For the 2015 HCUP State Databases, users should use caution with the tools-based data elements present on the Q4 data.
- A new HCUP Tools Loading Tutorial is available to assist users in the download and use of HCUP Tools.



- Create measures of health care quality using inpatient administrative data
 - ▶ 4 Quality Indicator modules:
 1. Prevention Quality Indicators (PQIs)
 2. Inpatient Quality Indicators (IQIs)
 3. Patient Safety Indicators (PSIs)
 4. Pediatric Indicators (PDIs)





Presentation Objectives

Part II



- **Tools & Software**
- **Supplemental Files**
- **HCUPnet Overview**
- **HCUP Fast Stats**
- **Publications and Publication Search**
- **How to Access HCUP Resources**

HCUP Supplemental Files Can Only be Applied to HCUP Databases

- **Cost-to-Charge Ratio (CCR) Files**
- **Hospital Market Structure (HMS) Files**
- **Supplemental Variables for Revisit Analyses**
- **Trend Weights Files (NIS & KID)**
- **American Hospital Association (AHA) Linkage Files**



- **Charges:** What the hospital charged for care (includes charge BEFORE discount)
- **Costs:** What it cost the hospital to provide the care

HCUP Databases include **CHARGE** information. **COST** information can be estimated by applying the cost-to-charge ratio supplemental file to the data of select databases

Cost-to-Charge Ratio (CCR) Files

- Enable conversion of charge data to cost data on the SID, NIS, KID, and NRD



**Hospital-Level
Data**



	A	B	C
1	HOSPID	APICC	GAPICC
2	xxxx	xxxx	xxxx
3	xxxx	xxxx	xxxx
4	xxxx	xxxx	xxxx
5	xxxx	xxxx	xxxx
6	xxxx	xxxx	xxxx
7	xxxx	xxxx	xxxx

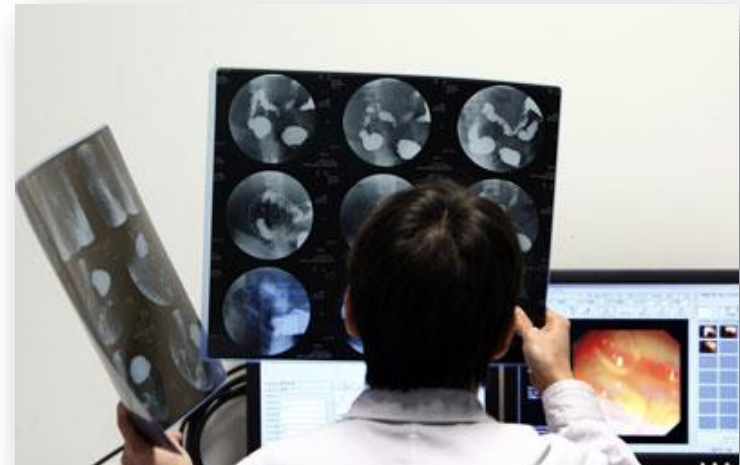
Apply Ratios



**Convert Total
Charges to Costs**

Hospital Market Structure (HMS) Files

- Contain various measures of hospital market competition
- Allow users to broadly characterize the intensity of competition that hospitals face
 - ▶ Using various definitions of market area



HCUP Supplemental Variables for Revisit Analyses

- Allows linkage across settings and time
 - ▶ Hospital readmissions
 - ▶ ED visits following hospital discharge
 - ▶ Inpatient hospitalizations following ambulatory surgery visits
- Adheres to strict privacy guidelines



HCUP Supplemental Variables for Revisit Analyses

- There are two HCUP supplemental variables:
 1. Synthetic person-level identifier (VisitLink)
 - Verified against the patient's date of birth and sex
 - Examined for completeness
 2. Timing variable determines the number of days between events for an individual (DaysToEvent)
 - Without the use of actual dates
- HCUP revisit variables are to be used exclusively with the SID, SASD, and SEDD (not Nationwide Databases) for States with encrypted patient identifiers
- Revisit Variables are only available in one nationwide HCUP database – the Nationwide Readmissions Database (NRD) (NRD_VisitLink and NRD_DaysToEvent)
- Select national revisit statistics are also available on HCUPnet

- **Trend Weights Files (NIS & KID)**
 - Discharge-level files that provide trend weights and data elements that are consistently defined across data years
- **AHA Linkage Files**
 - Enable researchers to link hospital identifiers in some State Databases to the AHA Annual Survey Databases

www.hcup-us.ahrq.gov/tools_software.jsp

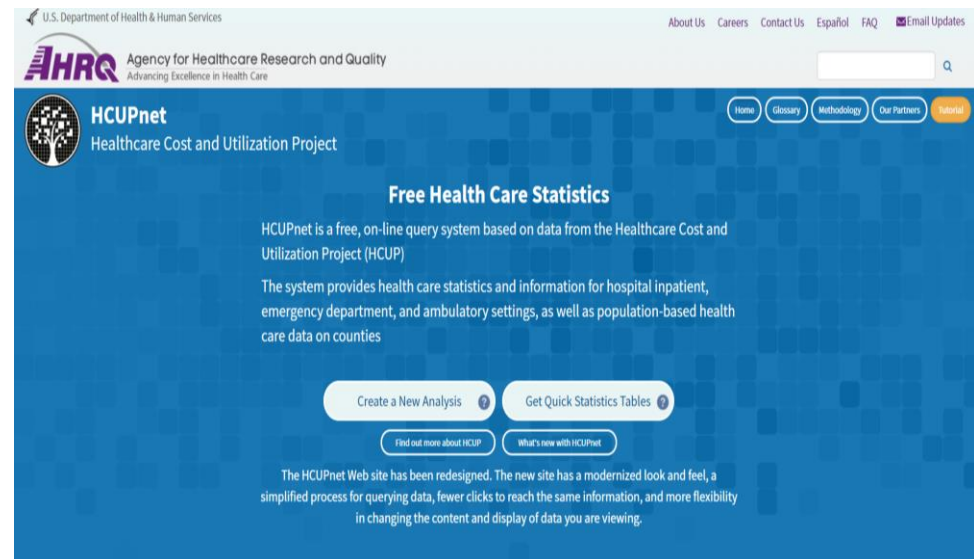
Presentation Objectives

Part II

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- Free online query system
- Users generate tables and figures of outcomes by diagnoses and procedures
- Data can be cross-classified by patient and hospital characteristics
- Can produce county-level statistical maps
- Recently redesigned

www.hcupnet.ahrq.gov/





HCUPnet Can Answer a Variety of Questions



- What percentage of hospitalizations for children are uninsured, by State?
- What are the most expensive conditions treated in U.S. hospitals?
- What is the trend in hospitalizations for depression?
- Will there be a sufficient number of cases to do my analysis?
- How do my estimates and calculations compare with HCUPnet (validation)?



Examples of What HCUPnet Provides ...



Step-by-step queries on:	Specialized queries by:	Ready-to-use statistics on:
Hospital inpatient data (SID, NIS, KID, NRD)	<ul style="list-style-type: none">• Overall inpatient stays• Select conditions or procedures	<ul style="list-style-type: none">• Trends in inpatient stays• Related conditions and procedures• Readmissions (NRD)
Emergency department (ED) data (SID, SEDD, NEDS)	<ul style="list-style-type: none">• Overall ED visits• Select conditions or procedures	<ul style="list-style-type: none">• Trends in ED visits• Percent of patients admitted versus discharged from the ED (i.e., treat-and-release)
Ambulatory surgery (AS) data (SASD)	<ul style="list-style-type: none">• Overall AS encounters• Select conditions or procedures	<ul style="list-style-type: none">• Percent of cases treated in the inpatient versus AS settings
Community-level statistics	<ul style="list-style-type: none">• County-level, regional, or U.S.-Mexico border State statistics	<ul style="list-style-type: none">• Inpatient stays for alcohol and other drugs

- Step 1: What kind of data are you looking for?
- Step 2: Do you want information on a specific diagnosis or procedure?
- Step 3: Create your analysis
- Step 4: View and update your data results in real time
- Step 5: View your results in detailed graphs and maps
- Step 6: Export your data for future use



HCUPnet Versus Full HCUP Databases



Capability	HCUPnet Can Produce...	HCUP Databases Can Produce...
Simple statistics	✓	✓
More complicated queries		✓
Sample size calculations	✓	✓
Trends analyses	✓	✓
Multivariate analyses		✓
Rank order of diagnoses and procedures	✓	✓
Z-test calculator for significance testing	✓	
Validation of results obtained from the HCUP databases	✓	




Presentation Objectives

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HCUP Fast Stats
HCUP Fast Stats provides easy access to the latest HCUP-based statistics for health information topics. HCUP Fast Stats uses visual statistical displays in stand-alone graphs, trend figures, or simple tables to convey complex information at a glance. Fast Stats will be updated regularly (quarterly or annually, as newer data become available) for timely, topic-specific national and State-level statistics.

HCUP Home	Databases	Tools & Software	Reports	Fast Stats	News & Events	Purchase HCUP Data	Technical Assistance	Data Innovations
-----------	-----------	------------------	---------	-------------------	---------------	--------------------	----------------------	------------------

HCUP Fast Stats

State

State Trends in Hospital Use by Payer

- [Inpatient Stay Trends by Payer](#) (Updated Nov. 2017)
- [Emergency Department Visit Trends by Payer](#) (Updated Nov. 2017)

National

National Hospital Utilization and Costs (Updated Nov. 2017)

- [Trends in Inpatient Stays](#)
- [Most Common Diagnoses for Inpatient Stays](#)
- [Most Common Operations During Inpatient Stays](#)

Additional Topics

Opioid-Related Hospital Use


- [Trends in Opioid-Related Inpatient Stays and Emergency Department Visits, National and State](#) (Updated Apr. 2018)

- HCUP Fast Stats provides easy access to the latest HCUP-based statistics for health information topics.
- Uses visual statistical displays in stand-alone graphs, trend figures, or simple tables to convey complex information at a glance.
- Information will be updated regularly (quarterly or annually, as newer data become available).

www.hcup-us.ahrq.gov/faststats/landing.jsp

HCUP Fast Stats –

State Trends in Inpatient Stays by Payer



H·CUP
HEALTHCARE COST AND UTILIZATION PROJECT

HCUP Fast Stats - State Trends in Inpatient Stays by Payer

HCUP Fast Stats provides easy access to the latest HCUP-based statistics for health information topics. This section provides State-level trends in hospital inpatient stays by expected payer.

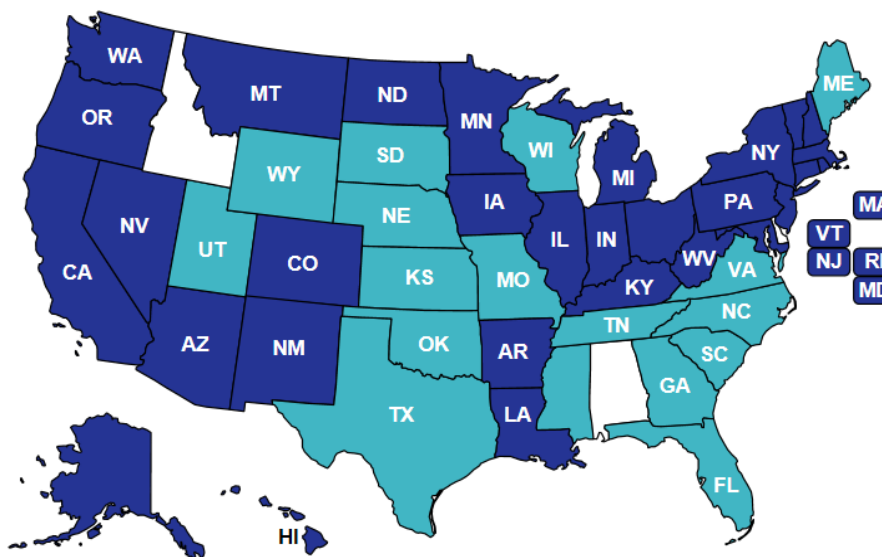
[Home](#)
[Databases](#)
[Tools & Software](#)
[Reports](#)
[Fast Stats](#)
[News & Events](#)
[Purchase HCUP Data](#)
[Technical Assistance](#)
[Data Innovations](#)

State Trends in Inpatient Stays by Payer

Click map to select one of the identified States, or select from list and click Select: Arizona* Select *Medicaid expansion State

Information is available for labeled States.

A [tutorial for State Trends in Inpatient Stays by Payer](#) is available.



Medicaid expansion States in HCUP	Medicaid nonexpansion States in HCUP	Non-HCUP States
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HCUP Fast Stats –

State Trends in Emergency Department Visits by Payer



H·CUP
HEALTHCARE COST AND UTILIZATION PROJECT

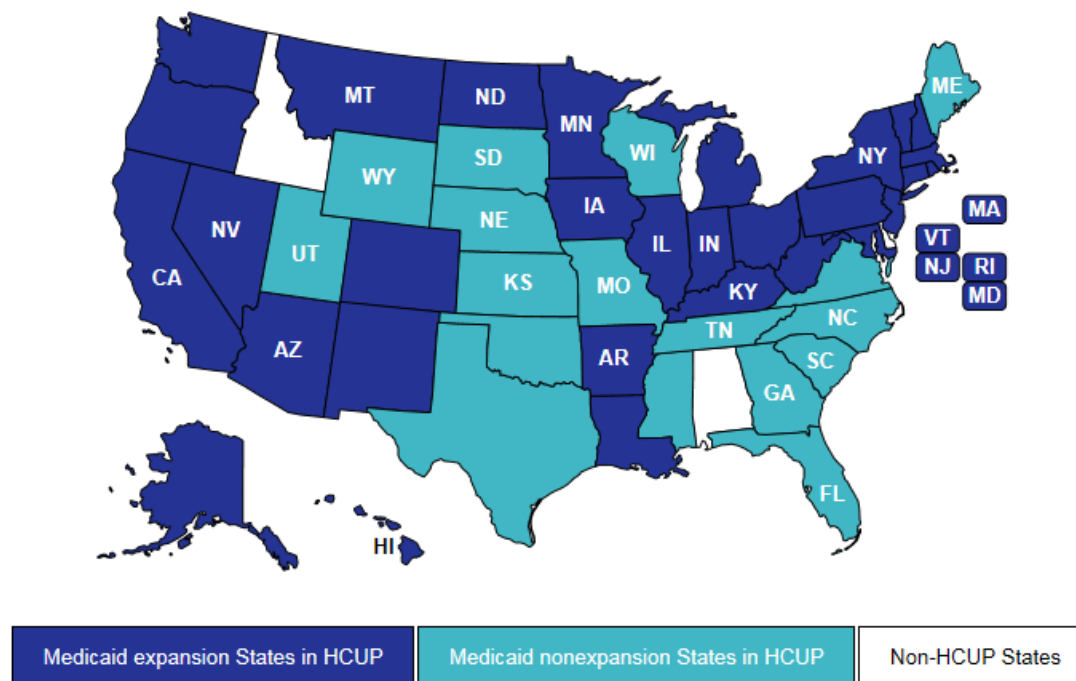
HCUP Fast Stats - State Trends in Emergency Department Visits by Payer

HCUP Fast Stats provides easy access to the latest HCUP-based statistics for health information topics. This section provides State-level trends in hospital emergency department visits by expected payer.

[Home](#) [Databases](#) [Tools & Software](#) [Reports](#) [Fast Stats](#) [News & Events](#) [Purchase HCUP Data](#) [Technical Assistance](#) [Data Innovations](#)

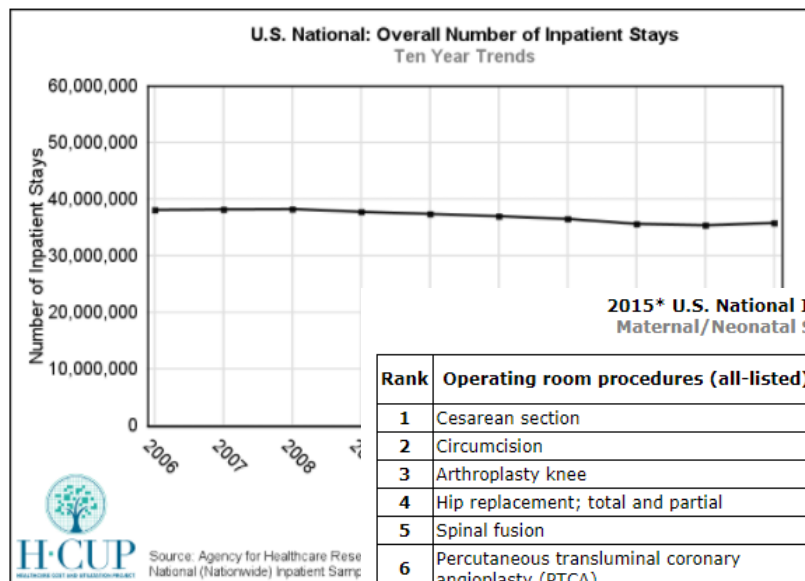
State Trends in Emergency Department Visits by Payer

Click map to select one of the identified States, or select from list and click Select: *Medicaid expansion State
Information is available for labeled States.



HCUP Fast Stats – National Hospital Utilization and Costs

- Includes information on trends in inpatient stays, the most common diagnoses for inpatient stays, and the most common operations during inpatient stays.



Source: Agency for Healthcare Research and Quality (AHRQ), Healthcare Cost and Utilization Project (HCUP), National Inpatient Sample (NIS), 2015

Rank	Operating room procedures (all-listed)	Total number of stays	Rate of stays per 100,000
1	Cesarean section	*	387
2	Circumcision	*	336
3	Arthroplasty knee	*	236
4	Hip replacement; total and partial	*	167
5	Spinal fusion	*	147
6	Percutaneous transluminal coronary angioplasty (PTCA)	*	147
7	Laminectomy; excision intervertebral disc	*	136
8	Other OR procedures on vessels other than head and neck	*	133
9	Partial excision bone	*	115
10	Cholecystectomy and common duct exploration	*	113

**2015* U.S. National Inpatient Stays
Maternal/Neonatal Stays Included**

Rank	Principal diagnosis	Total number of stays	Rate of stays per 100,000
1	Liveborn	*	1,195
2	Septicemia (except in labor)	*	552
3	Osteoarthritis	*	339
4	Congestive heart failure; nonhypertensive	*	297
5	Pneumonia (except that caused by tuberculosis or sexually transmitted disease)	*	276
6	Mood disorders	*	267
7	Cardiac dysrhythmias	*	212
	graft	*	203
		*	196
	perium affecting	*	195

Quality (AHRQ), Healthcare Cost and Utilization Project (HCUP),

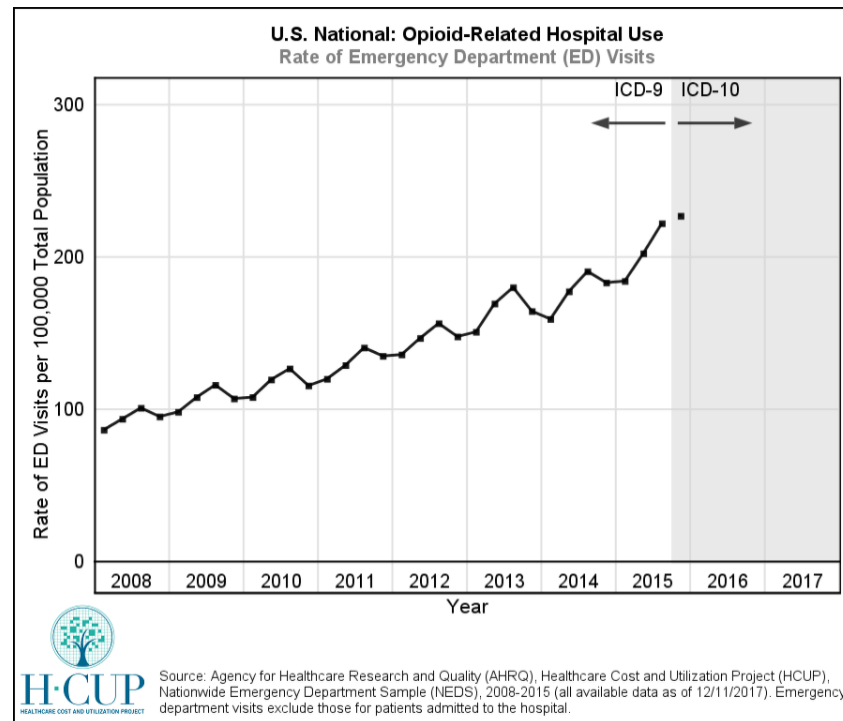
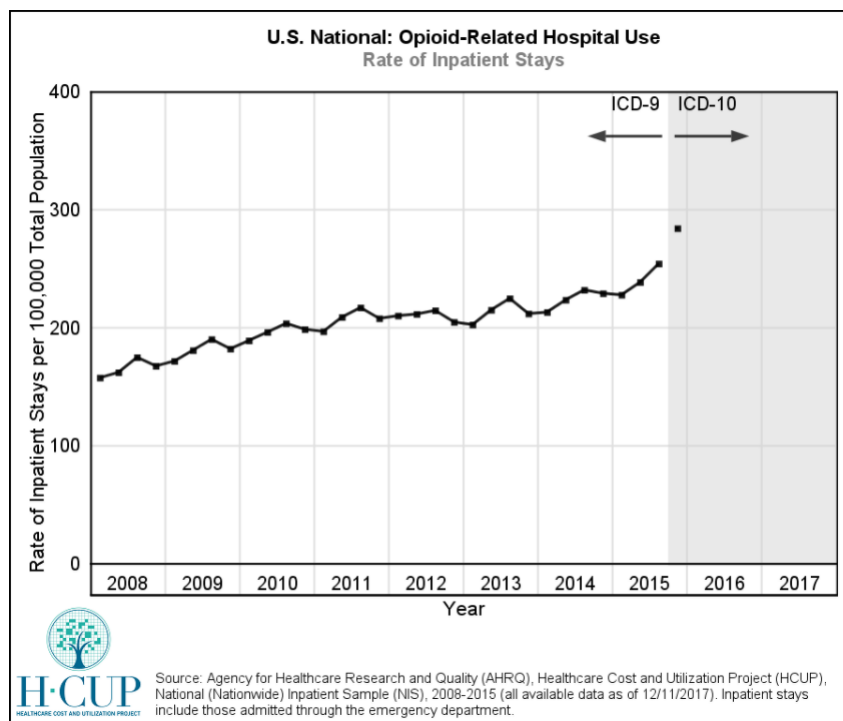
Notes & Methods.

Source: Agency for Healthcare Research and Quality (AHRQ), Healthcare Cost and Utilization Project (HCUP), National Inpatient Sample (NIS), 2015

*2015 Caution: Limited Reporting. See Data Notes & Methods.

HCUP Fast Stats – *Opioid-Related Hospital Use*

- *Opioid-Related Hospital Use*, provides information on opioid-related inpatient stays and ED visits overall and by age group, sex, community-level income, and rural/urban location. Trends are presented graphically as population-based rates for the U.S. and by State.





Presentation Objectives

Part II



- **Tools & Software**
- **Supplemental Files**
- **HCUPnet Overview**
- **HCUP Fast Stats**
- **Publications and Publication Search**
- **How to Access HCUP Resources**

- Statistical Briefs
- Methods Series Reports



STATISTICAL BRIEF #236

February 2018

Inpatient Stays Involving Atrial Fibrillation, 1998–2014

Elizabeth Kato, M.D., M.R.P., Quyên Ngo-Metzger, M.D., M.P.H., Kathryn R. Finger, Ph.D., M.P.H., Kimberly W. McDermott, Ph.D., and Anne Elixhauser, Ph.D.

Introduction

Atrial fibrillation, an abnormal heart rhythm or “arrhythmia” in which the upper chambers of the heart contract irregularly and inefficiently, affects 2.7–6.1 million Americans and is the most common type of heart arrhythmia.¹ Atrial fibrillation occurs when underlying heart disease (such as ischemic heart disease, valvular heart disease, cardiomyopathy, or heart failure) damages the tissue of the atria and disrupts its ability to contract regularly. Symptoms include palpitations (fluttering sensation in the chest), dizziness, shortness of breath, syncope (fainting), and fatigue. However not all people with atrial fibrillation have symptoms, and some may be unaware that they have an arrhythmia.

Atrial fibrillation is strongly associated with increasing age (affecting 0.2 percent of people under 55 years of age but 10 percent of those over 85 years old),² obesity, and diabetes.³ These risk factors are becoming increasingly relevant in the United States, where the population is aging,⁴ the obesity epidemic is growing,⁵ and the prevalence of diabetes is rising.⁶ Other risk factors include hypertension, previous cardiothoracic surgery, smoking, prior stroke, sleep apnea, alcohol and drug use, and hyperthyroidism.

¹ Centers for Disease Control and Prevention. Atrial Fibrillation Fact Sheet. Updated August 22, 2017. www.cdc.gov/ncbddd/heartdisease/atrialfibrillation.htm. Accessed September 28, 2017.

² Go AS, Hylek EM, Phillips KA, Chang Y, Henault LE, Selby JV, et al. Prevalence of diagnosed atrial fibrillation in adults: national implications for rhythm management and stroke prevention: the Anticoagulation and Risk Factors in Atrial Fibrillation (ATRIA) Study. *JAMA*. 2001;286(18):2375–8.

³ Centers for Disease Control and Prevention. 2017. Op. cit.

⁴ Ottman JM, Velkoff VA, Hogan H. An Aging Nation: The Older Population in the United States. May 2014. U.S. Census Bureau. www.census.gov/prod/2014pubs/c2kbr01-01a.pdf. Accessed November 20, 2017.

⁵ Ogden CL, Carroll MD, Fryar CD, Flegal KM. Prevalence of Obesity Among Adults and Youth—United States, 2011–2014. *NCHS Data Brief* 42-19. November 2015. Centers for Disease Control and Prevention. www.cdc.gov/ncbddd/obesitydata/obesity101.pdf. Accessed November 20, 2017.

⁶ Centers for Disease Control and Prevention. Division of Diabetes Translation. Long-term Trends in Diabetes. April 2017. www.cdc.gov/diabetes/data/statistics/diabetes_trends.pdf. Accessed November 20, 2017.

STATISTICAL BRIEF #237

February 2018

Patient Safety and Adverse Events, 2011 and 2014

Pamela L. Owens, Ph.D., Rhone Limcangco, Ph.D., Marguerite L. Barrett, M.S., Kevin C. Heslin, Ph.D., and Brian J. Moore, Ph.D.

Introduction

Improving patient safety and the quality of health care is a national priority in the United States.¹ In 1999, the Institute of Medicine reported that 44,000 to 98,000 Americans die each year as a result of medical errors.² More recently, at least one author has suggested that estimates of harm are much higher.³ The majority of these errors are a result of systemic problems rather than poor performance of individual clinicians. In the last 25 years, national initiatives have taken place to reduce the number of patients harmed as a result of the process of health care.⁴ However, medical errors are still a serious issue, and efforts to reduce them continue to draw attention and resources across the health care system.⁵ Therefore, it is important to assess progress made in patient safety and reveal where gaps remain.

One way to assess patient safety and adverse events is by using the Agency for Healthcare Research and Quality (AHRQ) Patient Safety Indicators (PSIs).⁶ These indicators provide a measure of potentially preventable complications of adult medical and surgical hospital care. The PSIs focus on adverse events during the hospital stay (such as pressure ulcers), complications associated with surgery (such as hemorrhage or hematomas, respiratory failure, or pulmonary embolism/deep vein thrombosis), and patient safety overall (a composite of the patient safety events). Rates vary by hospital and across time, with evidence demonstrating that

¹ U.S. Department of Health and Human Services. National Quality Strategy. 2015. Annual Progress Report to Congress: National Quality Improvement in Health Care. www.aHRQ.gov/sites/default/files/working/workingforquality2015summary.pdf. Accessed October 19, 2017.

² Institute of Medicine. *To Err Is Human: Building a Safer Health Care System*. Washington, DC: National Academies Press; 1999.

³ Makary MA, Daniel M. Medical error—the third leading cause of death in the US. *BMJ*. 2016; 353:1230.

⁴ Wang Y, Elzinga N, Metersky ML, Verzier NR, Meahan TP, Pandolfi MM, et al. National trends in patient safety for four common conditions, 2005–2011. *New England Journal of Medicine*. 2014;370:341–51.

⁵ U.S. Department of Health and Human Services. 2015. Op. cit.

⁶ More information is available at the AHRQ Quality Indicator Web site at www.qualityindicators.ahrq.gov/faculty.aspx. Accessed October 5, 2017.

Highlights

- Across hospitals in 34 States, the overall number of patient safety and adverse events for the 13 selected AHRQ Patient Safety Indicators (PSIs) declined from 2011 to 2014, with one exception.
- The hospital risk-adjusted rates per 1,000 discharges of all 13 PSIs decreased in 34 States from 2011 to 2014. Among the selected PSIs, average hospital rates for in-hospital falls with hip fracture decreased the most (73.9 percent).
- The percentage of hospitals in 34 States with no patient safety and adverse events increased between 2011 and 2014 for each of the 13 risk-adjusted PSIs analyzed. The percentage of hospitals with no catheter-related blood stream infections increased the most, from 52.3 percent in 2011 to 71.3 percent in 2014.
- In 2014, more than 90 percent of hospitals in 34 States had average or better-than-average risk-adjusted patient safety and adverse event rates for 11 of the 13 PSIs analyzed.
- Hospital improvements in patient safety and adverse events were noted from 2011 to 2014 in 34 States—there was a decrease in the percentage of hospitals classified as worse than average (from 9.5 to 6.7 percent) and an increase in the percentage of hospitals classified as better than average (from 3.4 to 5.5 percent).

STATISTICAL BRIEF #238

March 2018

Hospital Emergency Department Age and Payer, 2006–2015

D., Zeynal Karaca, Ph.D., and ng, Ph.D.

Emergency department (ED) provides services to all who seek relief of ability to pay,¹ and the ED has become an important site of admissions for hospitals.² Since the 1990s, the ED visits have been steadily increasing^{3,4} and has varied by age and payer. Prior studies have shown that 18–44 years and 45–64 years accounted for the most ED visits from 1997 to 2007, and the population increased significantly among adults with Medicaid.⁵ Five people reported visiting the ED at least once a year, and those with Medicaid coverage were more likely to be ED than those without insurance or those with private insurance.⁶ More recent studies have shown that policies that increase the number of patients without insurance can increase the number of ED visits.^{7,8}

The Healthcare Cost and Utilization Project (HCUP) Statistical Brief is a hospital-affiliated ED utilization by examining the number of ED visits and the number of ED visits across by age groups. The Nationwide Emergency Sample (NEDS) from 2006 to 2015 was used to

¹ BR, Larkin GL, Marco CA, Johnson LA, Yen C, et al. The Emergency Department as a Federal Health Care Safety Net. *Academic Emergency Medicine*. 2001;8(11):1064–8.

² Wolff S, Blanchard JC, Abit M, Iyer N, Smith AC, et al. The evolving emergency department in the United States. *Rand Health Quarterly*.

³ LF, Trends in hospital emergency department utilization: United States and Health Statistics. 2011;13(150):1–34.

⁴ ng E, Moss AJ, Allen KF, Siller AB, Toggie RB. Health care in the United States. Hyattsville, MD: National Center for Health Statistics. <http://www.nchs.gov/data/healthcare/healthcare.pdf>. Accessed January 30, 2018.

⁵ Hsu RY, Maselli JH, Gonzalez R. Trends and characteristics of US hospital visits, 1997–2007. *JAMA*. 2010;304(6):664–70.

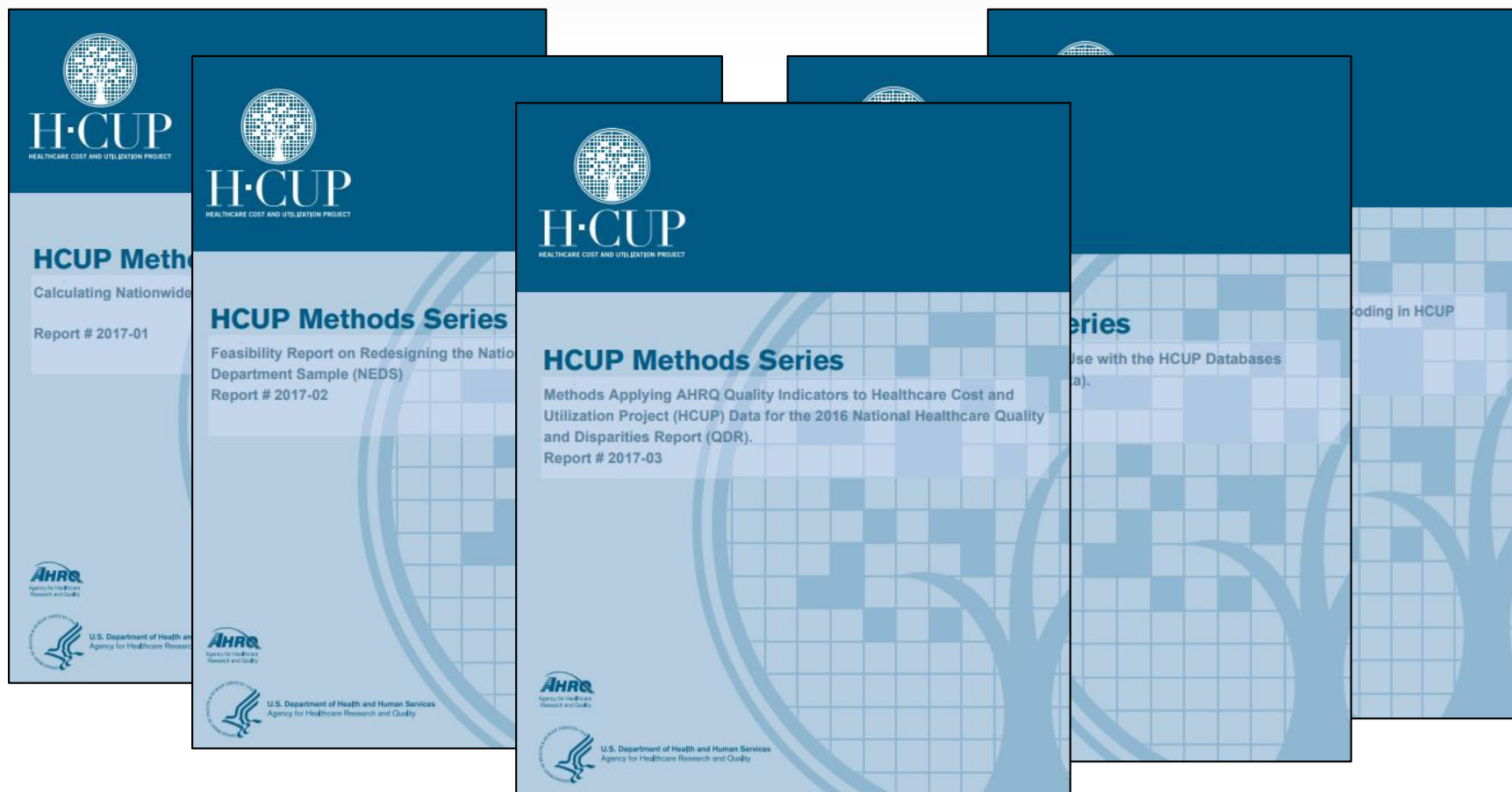
⁶ Centers for Disease Control and Prevention. National Center for Health Statistics. *2012. With Special Feature on Emergency Care*. Hyattsville, MD: U.S. Health Statistics; 2013.

⁷ M. Magrader A, Black B, Farmer S, Hufstader G, et al. Medicaid did not increase emergency department use but did change the mix of patients. *Health Affairs*. 2016;35(8):1480–8.

⁸ ngian PJ, Tahrani AB. Changes in emergency department utilization of expansion in California. *Medical Care*. 2017;55(8):576–82.

Highlights

- The rate of ED visits per 100,000 population reached a 10-year high in 2015 for all age groups and increased the most for patients aged 45–64 years (20 percent, from 2006).
- The proportion of ED visits that resulted in hospital admission decreased for all age groups from 2006 to 2015.
- For patients aged under 18 years, the share of ED visits with Medicaid as the primary payer rose from 45 percent in 2006 to 62 percent in 2015.
- The share of Medicaid among ED visits for those aged 18–44 and 45–64 years rose with average annual increase of 11 and 14 percent, respectively, from 2013 to 2015, compared with 4 percent increase for both age groups from 2006 to 2013.
- The share of uninsured ED visits for those aged 18–44 and 45–64 years dropped with average annual decrease of 17 and 21 percent, respectively, from 2013 to 2015, compared with 0 and 2 percent increase, respectively, from 2006 to 2013.
- For patients under the age of 65 years, the share of ED visits covered by private insurance decreased from 2006 to 2015 and changed the most for patients under age 18 years (average annual decrease of 4 percent).
- For those aged 65 years and older, Medicare and private insurance accounted for 95 to 96 percent of all ED visits.



**Methodological information on the
HCUP databases and software tools**

Reports

Healthcare Cost and Utilization Project (HCUP) reports include new findings, publications, research notes and issues. These products are developed by the Agency for Healthcare Research and Quality (AHRQ) through

www.hcup-us.ahrq.gov/reports.jsp

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Tools &
Software

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Fast
Stats

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HCUP Statistical Briefs

Statistical Briefs are simple, descriptive reports on a variety of specific health-care related issues. A full list is available by [topic](#) and [chronological order](#). The most recent briefs are:

- [Trends in Hospital Emergency Department Visits by Age and Payer, 2006-2015](#)
- [Patient Safety and Adverse Events, 2011 and 2014](#)

ICD-10-CM/PCS Resources

These [resources](#) summarize key issues anticipated by researchers when analyzing health services outcomes using HCUP databases that include International Classification of Diseases, Tenth Revision, Clinical Modification/Procedure Coding System (ICD-10-CM/PCS) coding:

- [General Information about ICD-10](#)
- [HCUP Databases and ICD-10 Related Data Elements](#)
- [Doing Analysis with ICD-10 Data](#)

HCUP Infographics

Infographics provide a visual representation of Statistical Brief data. A [full list](#) is available. The most recent infographic is:

- [Characteristics of Hospital Stays Involving Malnutrition, 2013](#) (PDF file, 734 KB)

Information About Using HCUP Data

HCUP Methods Series

Methods Series reports, organized by [topic](#) and [chronological order](#), feature a broad array of methodological information on the HCUP databases and software tools. The most recent reports are:

- [Population Denominator Data for Use with the HCUP Databases \(Updated with 2016 Population Data\)](#) (PDF file, 477 KB)
[Appendix A Population Data Tables](#) (in ZIP format for downloading)
- [User Guide: An Examination of Expected Payer Coding in HCUP Databases \(Updated for 2015 HCUP Data\)](#) (PDF file, 391 KB)
[Supplements 1-3](#) (PDF file, 678 KB)

HCUP Nationwide Database Reports

These reports are specific to the design and content of the HCUP nationwide databases.

- [National \(Nationwide\) Inpatient Sample \(NIS\)](#)
- [Kids' Inpatient Database \(KID\)](#)
- [Nationwide Emergency Department Sample \(NEDS\)](#)
- [Nationwide Readmissions Database \(NRD\)](#)

HCUP State Database Reports

These reports are specific to the design and content of the HCUP state databases.

- [State Inpatient Databases \(SID\)](#)
- [State Ambulatory Surgery and Services Databases \(SASD\)](#)
- [State Emergency Department Databases \(SEDD\)](#)

Publications and Additional Topics

Topical Reports

Topical reports provide information about various priority populations.

- [Clostridium Difficile Hospitalizations 2010-2014](#) (PDF file, 364 KB)
- Approaches to using [race-ethnicity data for reducing disparities](#)
- Utilization and spending for [mental and substance use disorders](#)

HCUP Publications

These links provide access to lists of publications, resources, and descriptions of research activities that are based on HCUP data, software products, and tools.

- [Search for HCUP publications](#)
- [Research Spotlights](#) on recent peer-reviewed journal articles
- [Review comprehensive list of AHRQ publications](#)

HCUP Archive

This archive features a broad array of information based on HCUP databases and other related reports.

- [HCUP Projections](#) (2012-2016)
- [The Value of Hospital Discharge Data](#) (PDF file, 664 KB) (Posted May 2005)
- [HCUP Facts and Figures](#) (2005-2009)
- [HCUP Highlights](#) (2001-2003)
- [HCUP Fact Books](#) (1997-2004)
- [HCUP National Statistics Archive](#) (1992-1996)

- **Simple or advanced search options**

- ▶ Data Year
- ▶ Database, Tool, & Product
- ▶ Author
- ▶ Title
- ▶ State



**More than 6,000
publications use
HCUP data or
products**



Presentation Objectives

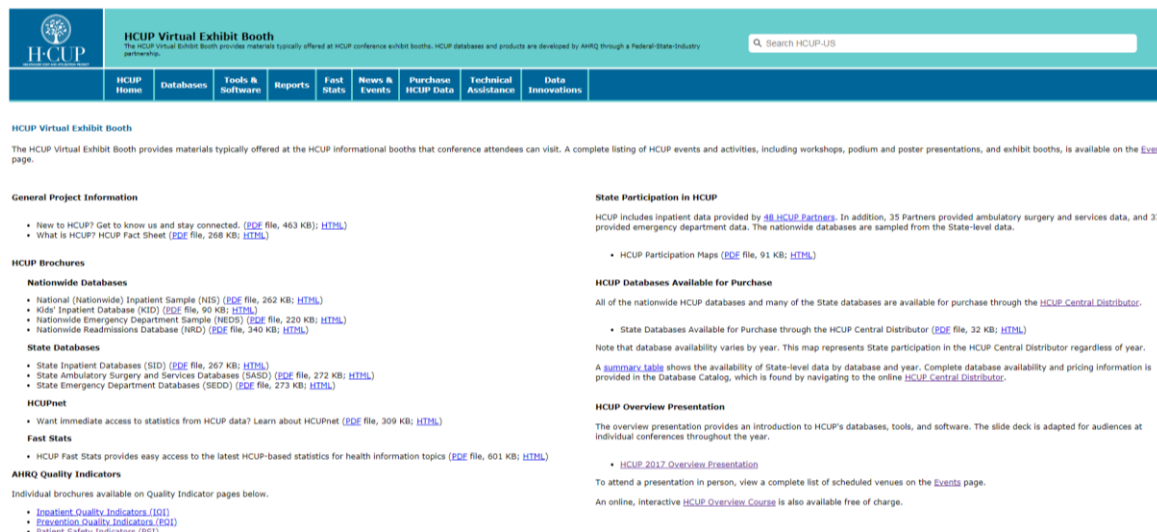
Part II



- **Tools & Software**
- **Supplemental Files**
- **HCUPnet Overview**
- **HCUP Fast Stats**
- **Publications and Publication Search**
- **How to Access HCUP Resources**

Visit HCUP's Virtual Exhibit Booth

- The HCUP Virtual Exhibit Booth provides materials typically offered at the HCUP conference exhibit booths
- Includes brochures, participation maps, an overview presentation of HCUP, and additional information that provides general project information



The screenshot shows the HCUP Virtual Exhibit Booth website. The header includes the HCUP logo and a navigation menu with links: Home, Databases, Tools & Software, Reports, Fast Stats, News & Events, Purchase HCUP Data, Technical Assistance, and Data Innovations. A search bar is also present.

HCUP Virtual Exhibit Booth
The HCUP Virtual Exhibit Booth provides materials typically offered at HCUP conference exhibit booths. HCUP databases and products are developed by AHRQ through a Federal-State-Industry partnership.

General Project Information

- New to HCUP? Get to know us and stay connected. (PDF file, 463 KB); [HTML](#)
- What is HCUP? HCUP Fact Sheet (PDF file, 268 KB); [HTML](#)

HCUP Brochures

Nationwide Databases

- National (Nationwide) Inpatient Sample (NIS) (PDF file, 262 KB); [HTML](#)
- Kids' Inpatient Database (KID) (PDF file, 90 KB); [HTML](#)
- Nationwide Emergency Department Sample (NEDS) (PDF file, 220 KB); [HTML](#)
- Nationwide Readmissions Database (NRD) (PDF file, 340 KB); [HTML](#)

State Databases

- State Inpatient Databases (SID) (PDF file, 267 KB); [HTML](#)
- State Ambulatory Surgery and Services Databases (SASD) (PDF file, 272 KB); [HTML](#)
- State Emergency Department Databases (SEDD) (PDF file, 273 KB); [HTML](#)

HCUPnet

- Want immediate access to statistics from HCUP data? Learn about HCUPnet (PDF file, 309 KB); [HTML](#)

Fast Stats

- HCUP Fast Stats provides easy access to the latest HCUP-based statistics for health information topics (PDF file, 601 KB); [HTML](#)

AHRQ Quality Indicators

Individual brochures available on Quality Indicator pages below.

- [Inpatient Quality Indicators \(IQI\)](#)
- [Prevention Quality Indicators \(PQI\)](#)
- [Outpatient Quality Indicators \(OQI\)](#)

State Participation in HCUP

HCUP includes inpatient data provided by 48 HCUP Partners. In addition, 35 Partners provided ambulatory surgery and services data, and 37 provided emergency department data. The nationwide databases are sampled from the State-level data.

- HCUP Participation Maps (PDF file, 91 KB); [HTML](#)

HCUP Databases Available for Purchase

All of the nationwide HCUP databases and many of the State databases are available for purchase through the [HCUP Central Distributor](#).

- State Databases Available for Purchase through the HCUP Central Distributor (PDF file, 32 KB); [HTML](#)

Note that database availability varies by year. This map represents State participation in the HCUP Central Distributor regardless of year.

A [summary table](#) shows the availability of State-level data by database and year. Complete database availability and pricing information is provided in the Database Catalog, which is found by navigating to the online [HCUP Central Distributor](#).

HCUP Overview Presentation

The overview presentation provides an introduction to HCUP's databases, tools, and software. The slide deck is adapted for audiences at individual conferences throughout the year.

- [HCUP 2017 Overview Presentation](#)

To attend a presentation in person, view a complete list of scheduled venues on the [Events](#) page.

An online, interactive [HCUP Overview Course](#) is also available free of charge.

Interactive Online HCUP Tutorials & Training Courses

- HCUP Overview Course
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- Load and Check HCUP Data
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- HCUP Sample Design
- Multi-Year Analysis
- Nationwide Readmissions Database (NRD)



Active Technical Assistance

- Responds to inquiries about HCUP data, products, and tools
- Collects user feedback and suggestions for improvement


E-mail: hcup@ahrq.gov





HCUP-US for Technical Assistance



**Technical Assistance**
HCUP User Support answers questions and provides technical assistance to HCUP users. This service is maintained by AHRQ through a Federal-State-Industry partnership.

Q Sea

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Need Help?

HCUP FAQs

The [HCUP FAQs](#) provide answers to commonly asked questions about HCUP databases, software tools, supplemental files, and other products.

HCUP Databases

The [HCUP Databases](#) page provides detailed database overviews, information on obtaining the databases, and additional resources and documentation to assist you in using the databases. Visit the [Purchase HCUP Data](#) page for additional information on obtaining HCUP databases.

HCUP Publishing Requirements

For information on publishing with HCUP data, please review the [HCUP publishing requirements](#).

HCUP Virtual Exhibit Booth

The [HCUP Virtual Exhibit Booth](#) provides educational overview materials typically offered for conference attendees at HCUP informational booths.

HCUP Index

To search for an HCUP topic, please review the [Index](#).

HCUP Training & Tutorials

HCUP Overview Course

To learn more about HCUP, take the *interactive, modular* [HCUP Overview Course](#) (approximately 90 minutes) that provides information about HCUP data, software tools, and products. The course covers the features, capabilities, and potential uses of HCUP resources.

HCUP Data Use Agreement Training Tool

All purchasers and users of HCUP data must complete the [HCUP Data Use Agreement \(DUA\) Training Course](#) (approximately 15 minutes) and sign an HCUP DUA before using the data. The DUA is a legally binding agreement with AHRQ that defines how you can use HCUP data.

HCUP On-line Tutorial Series

To learn more about concepts essential to conducting effective research with HCUP, refer to the *interactive, modular* [HCUP On-line Tutorial Series](#). The courses are designed to answer technical questions you may have related to HCUP data and products.



Checklist for Working With HCUP Databases

The [Checklist for Working With the NIS](#) reviews best practices and solutions for common errors. Many of the principles and resources also apply to other HCUP databases.

www.hcup-us.ahrq.gov/techassist.jsp




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